

(Dealing Member's letter head)

Sample checklist for Migration to Premium Board

Checklist for First Submission: (insert name of applicant issuer)

Type of Application:

**Note:**

The issuer must comply with Section C: *Requirements for All Listings* as well as Chapter 2: *Subsequent Listing of Securities* and Chapter 12: *Listing on the Premium Board*, Rulebook of The Exchange, 2015 (Issuers' Rules).

Where soft copy document is required the document should be forwarded to [giwenehkai@nse.com.ng](mailto:giwenehkai@nse.com.ng); [okuti@nse.com.ng](mailto:okuti@nse.com.ng); [ooyeoka@nse.com.ng](mailto:ooyeoka@nse.com.ng) and [ooge@nse.com.ng](mailto:ooge@nse.com.ng) .

S/N	ITEM	YES	NO	N/A
1	Formal application to migrate to The Premium Board of the NSE			
2	CGRS Certification			
3	<del>₦</del> 200 billion market capitalization			
4	A minimum free float requirement of twenty per-cent (20%) of its issued share capital or free float value of <del>₦</del> 40 billion			
5	Board resolution authorizing the Company to list on the Premium Board of the NSE			

I, ..... an approved executive of..... (Name of sponsor) hereby confirm that this Checklist is complete and that no other information which is required in terms of the Listings Requirements has been omitted. I also confirm that we have carried our reasonable due diligence on the applicant and its directors and are satisfied with our findings.

Signed by approved executive of sponsor.

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
DATE

(Internal use only)

Reviewed by:

Name:.....

Date:.....