



**THE Nigerian
STOCK EXCHANGE**

Blacklisted Dealing Clerks

Broker TraX

S/No	BROKER	DETAILS	DATE
1	Mr. Kingsley Nnaji	Has been blacklisted by The Exchange for unprofessional misconduct.	May-12
2	Mr Kayode Awotile	Has been blacklisted by The Exchange for unauthorised transfers and sales of clients shares.	26-Feb-14
3	Mr Akinwale Olagundoye	His membership with The Chartered Institute of Stockbrokers (CIS) has been withdrawn.	28-Mar-14
5	Mr. Agomuo Chidi Solomon	Has been blacklisted by The Exchange for Share price/market manipulation	30-Sep-15
6	Alhaji Maina Muninga	Has been blacklisted by The Exchange for unauthorised sales of clients shares.	28-Jan-16
7	Mr. John Nsofor	Has been blacklisted by The Exchange for unauthorised sales of clients shares	28-Jan-16
8	Mr. Oye Oyefeso	Has been blacklisted by The Exchange for unauthorised sales of clients shares.	28-Jan-16
4	Mr Ayokunle Oyedeji	Has been blacklisted by The Exchange for unauthorised sales of clients shares.	31-Mar-16
9	Mr. Abioye Eluwole	Has been blacklisted by The Exchange for unauthorised sales of clients shares	31-Mar-16
10	Mr. Gregory Otsu	Has been blacklisted by The Exchange for unauthorised sales of clients shares.	31-Mar-16
11	Mr. Albert Okumagba	He was banned by the Securities and Exchange Commission from all capital market activities for life effective January 2017.	30-Jan-17
12	Mr. Chibundu N. Edozie	He was banned by the Securities and Exchange Commission from all capital market activities for life effective January 2017.	30-Jan-17
13	Mr. Adebola Peter	He was banned by the Securities and Exchange Commission from all capital market activities for 5 years effective May 2016.	25-May-16
14	Mr. Joshua Sesan Adetiloye	He was banned by the Securities and Exchange Commission from all capital market activities for 2 years effective May 2016.	25-May-16
15	Ms. Nkechi Azubike	She was banned by the Securities and Exchange Commission from all capital market activities for 1 year effective May 2016.	25-May-16
16	Mr. Anthony Nwozor	He was banned by the Securities and Exchange Commission from all capital market activities for 1 year effective May 2016.	25-May-16
17	Mr. Taju Folaji	Has been blacklisted by The Exchange for unauthorised transfers and sales of clients shares.	28-Oct-16
18	Mr. Ichie Mike Ejezie	Has been blacklisted by The Exchange for unauthorised transfers and sales of clients shares.	28-Oct-16
19	Mr. Segun Adebayo Adams	Has been blacklisted by The Exchange for unauthorised transfers and sales of clients shares.	28-Oct-16
20	Ekwy Adinuba	Has been blacklisted by The Exchange for unauthorised sales of clients shares.	30-Mar-17
OTHER APPROVED PERSONS BLACKLISTED			
S/No	NAME	DETAILS	DATE
1	Mr. Olorunfemi Ayorinde	Has been blacklisted by The Exchange for unauthorized transfer and sales of clients' shares.	28-Oct-16