



**THE Nigerian
STOCK EXCHANGE**

Broker TraX

Non-compliance with the
Minimum Operating
Standards (MOS)

**DEALING MEMBER FIRMS NON-COMPLIANT WITH THE MINIMUM OPERATING STANDARDS (MOS) AS AT 22
OCTOBER 2018**

SN	FIRM	STATUS
1	Cadington Securities Limited	Inactive
2	Clearview Investments & Securities Ltd	Inactive
3	GMT Securities & Asset Management Limited	Inactive
4	Maven Asset Management Limited	Inactive
5	Mercov Securities Limited	Inactive
6	Resano Securities Limited	Inactive
7	Transafrica Financial Services Limited	Inactive