

**THE Nigerian  
STOCK EXCHANGE**



## Broker TraX

Non-compliance with the  
Minimum Operating  
Standards (MOS)

**DEALING MEMBER FIRMS NON-COMPLIANT WITH THE MINIMUM OPERATING STANDARDS (MOS) AS AT 22 MAY 2017**

<b>SN</b>	<b>FIRM</b>	<b>STATUS</b>
1	Clearview Investments & Securities Ltd	Inactive
2	Maven Asset Management Limited	Inactive
3	Best link Investment Limited	Inactive
4	Cadington Securities Limited	Inactive
5	GMT Securities & Asset Management Limited	Inactive
6	Mercov Securities Limited	Inactive
7	Resano Securities Limited	Inactive
8	Stanwal Securities Limited	Inactive
9	Transafrika Financial Services Limited	Inactive
10	UIDC Securities Limited	Inactive
11	Summa Guaranty & Trust Co. Limited	Inactive
12	Yuderb Investments & Securities Limited	Inactive

