



DEALING MEMBER FIRMS WITH INADEQUATE SHAREHOLDERS FUND AS AT 11 JUNE 2018

SN	FIRM	STATUS
1	Financial & Analytics Capital Limited	Active
2	Golden Securities Limited	Active
3	Marriot Securities and Investment Co. Limited	Active
4	Midas Stockbrokers Limited	Active
5	Rowet Capital Management Limited	Active
6	Adamawa Securities Limited	Inactive
7	Aims Asset Management Limited	Inactive
8	Cadington Securities Limited	Inactive
9	CEB Securities Limited	Inactive
10	Finbank Securities & Assets Management Limited	Inactive
11	GEM Asset Management Limited	Inactive
12	GMT Securities & Asset Management Limited	Inactive
13	Gombe Securities Limited	Inactive
14	Horizon Stockbrokers Limited	Inactive
15	ITIS Securities Limited	Inactive
16	Investment Shark & Asset Management Limited	Inactive
17	Kakawa Asset Management Limited	Inactive
18	Kofana Securities and Investment Limited	Inactive
19	Lion Stockbrokers Limited	Inactive
20	LMB Stockbrokers Limited	Inactive
21	Mact Securities Limited	Inactive
22	Mercov Securities Limited	Inactive
23	ML Securities Limited	Inactive
24	Monument Sec & Finance Limited	Inactive
25	Profund Securities Limited	Inactive
26	Resano Securities Limited	Inactive
27	Standard Alliance Capital & Asset Management Limited	Inactive
28	Stanwal Securities Limited	Inactive
29	Summa Guaranty & Trust Company Limited	Inactive
30	Supra Commercial Trust Company Limited	Inactive
31	Vision Trust & Investment Limited	Inactive
32	Wema Asset Management Limited	Inactive
33	Decanon Investment Limited	Deregistered by SEC
34	Platinum Capital Limited	Deregistered by SEC
35	Securities Solutions Limited	Deregistered by SEC

*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.