



DEALING MEMBER FIRMS OPERATING WITHOUT COMPLIANCE OFFICERS AS AT 21 JANUARY 2019

ACTIVE FIRMS

INACTIVE FIRMS

1	Cadington Securities Limited
2	CEB Securities Limited
3	GMT Securities & Asset Management Limited
4	Investment Shark & Asset Management Limited
5	Mact Securities Limited
6	Mercov Securities Limited
7	ML Securities Limited
8	Monument Securities & Finance Limited
9	Profund Securities Limited
10	Standard Alliance Capital & Asset Management Limited

DEREGISTERED FIRM

1	Securities Solutions Limited