



**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST DECEMBER 2013**

**ACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Adamawa Securities Limited	31-Dec	31-Mar-14	Submitted
2	Adonai Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
3	Abyn Investment Limited	31-Dec	31-Mar-14	Submitted
4	African Alliance Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
5	ARM Securities Limited	31-Dec	31-Mar-14	Submitted
6	Alangrange Securities Limited	31-Dec	31-Mar-14	Submitted
7	Altrade Securities Limited	31-Dec	31-Mar-14	Submitted
8	Abyn Investments Limited	31-Dec	31-Mar-14	Submitted
9	Anchorage Securities & Finance Limited	31-Dec	31-Mar-14	Submitted
10	Anchoria Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
11	Apel Asset Limited	31-Dec	31-Mar-14	Submitted
12	APT Securities & Funds Limited	31-Dec	31-Mar-14	Submitted
13	Arthur Steven Asset Management Limited	31-Dec	31-Mar-14	Submitted
14	Associated Asset Managers Limited	31-Dec	31-Mar-14	Submitted
15	Atlass Portfolio Limited	31-Dec	31-Mar-14	Submitted
16	Bestlink Investment Limited	31-Dec	31-Mar-14	Submitted
164	Strategy & Arbitrage Limited	31-Dec	31-Mar-14	Submitted
17	Bestworth Assets & Trust Limited	31-Dec	31-Mar-14	Submitted
18	BGL Securities Limited	31-Dec	31-Mar-14	Submitted
19	Bytofel Trust & Securities Limited	31-Dec	31-Mar-14	Submitted
20	Cadington Securities Limited	31-Dec	31-Mar-14	Submitted
21	Calyx Securities Limited	31-Dec	31-Mar-14	Submitted
22	Camry Securities Limited	31-Dec	31-Mar-14	Submitted
23	Capital Bancorp Limited	31-Dec	31-Mar-14	Submitted
24	Capital Express Securities Limited	31-Dec	31-Mar-14	Submitted
25	Capital Trust Brokers Limited	31-Dec	31-Mar-14	Submitted
26	Cashcraft Asset Management Limited	31-Dec	31-Mar-14	Submitted
27	Cashville Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
28	CDL Capital Markets Limited	31-Dec	31-Mar-14	Submitted
29	Chapel Hill Denham Securities Limited	31-Dec	31-Mar-14	Submitted
30	City Code Trust & Invest Company Limited	31-Dec	31-Mar-14	Submitted
31	Compass Investments & Securities Limited	31-Dec	31-Mar-14	Submitted
32	Cordros Capital Limited	31-Dec	31-Mar-14	Submitted
33	Core Trust & Investment Limited	31-Dec	31-Mar-14	Submitted
34	Crossworld Securities Limited	31-Dec	31-Mar-14	Submitted
35	Covenant Securities & Investment Management Limited	31-Dec	31-Mar-14	Submitted
36	Cowry Securities Limited	31-Dec	31-Mar-14	Submitted
37	Cradle Trust Finance & Securities Limited	31-Dec	31-Mar-14	Submitted
38	Crane Securities Limited	31-Dec	31-Mar-14	Submitted
39	Crossworld Securities Limited	31-Dec	31-Mar-14	Submitted
40	Crown Capital Limited	31-Dec	31-Mar-14	Submitted
41	CSL Stockbrokers Limited	31-Dec	31-Mar-14	Submitted

42	Deep Trust & Investment Limited	31-Dec	31-Mar-14	Submitted
43	Delords Securities Limited	31-Dec	31-Mar-14	Submitted
44	Dependable Securities Limited	31-Dec	31-Mar-14	Submitted
45	Diamond Securities Limited	31-Dec	31-Mar-14	Submitted
46	Dominion Trust Limited	31-Dec	31-Mar-14	Submitted
47	DSU Brokerage Services Llimited	31-Dec	31-Mar-14	Submitted
48	Dunbell Securities Limited	31-Dec	31-Mar-14	Submitted
49	Dunn Loren Merrifield Securities Limited	31-Dec	31-Mar-14	Submitted
50	Express Discount Asset Management Limited	31-Dec	31-Mar-14	Submitted
51	ECL Asset Management Limited	31-Dec	31-Mar-14	Submitted
52	EDC Securities Limited	31-Dec	31-Mar-14	Submitted
53	Emerging Capital Limited	31-Dec	31-Mar-14	Submitted
54	Enterprise Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
55	Eurocomm Securities Limited	31-Dec	31-Mar-14	Submitted
56	Excel Securities Limited	31-Dec	31-Mar-14	Submitted
57	Express Discount Asset Mgt Ltd	31-Dec	31-Mar-14	Submitted
58	Express Portfolio Services Limited	31-Dec	31-Mar-14	Submitted
59	FBC Trust & Securities Limited	31-Dec	31-Mar-14	Submitted
60	Foresight Securities Limited	31-Dec	31-Mar-14	Submitted
61	FBN Securities limited	31-Dec	31-Mar-14	Submitted
62	FCSL Assets Mgt Coy Limited	31-Dec	31-Mar-14	Submitted
63	Fidelity Finance Company Limited	31-Dec	31-Mar-14	Submitted
64	Fidelity Securities Limited	31-Dec	31-Mar-14	Submitted
65	Financial Trust Company Limited	31-Dec	31-Mar-14	Submitted
66	Finbank Securities & Assets Management Limited	31-Dec	31-Mar-14	Submitted
67	First Integrated Capital management Limited	31-Dec	31-Mar-14	Submitted
68	First Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
69	FIS Securities Limited	31-Dec	31-Mar-14	Submitted
70	Fortress Capital Limited	31-Dec	31-Mar-14	Submitted
71	FSDH Securities Limited	31-Dec	31-Mar-14	Submitted
72	FundsMatrix & Assets management Limited	31-Dec	31-Mar-14	Submitted
73	Gem Assets Management Limited	31-Dec	31-Mar-14	Submitted
74	Gidauniya Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
75	Global Asset Management (Nig) Limited	31-Dec	31-Mar-14	Submitted
76	Global View Consult & Investment Limited	31-Dec	31-Mar-14	Submitted
77	GMT Securities & Asset Mgt Limited	31-Dec	31-Mar-14	Submitted
78	Golden Securities Limited	31-Dec	31-Mar-14	Submitted
79	Greenwich Securities Limited	31-Dec	31-Mar-14	Submitted
80	GTI Securities Limited	31-Dec	31-Mar-14	Submitted
81	Harmony Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
82	Heartbeat Invstment Limited	31-Dec	31-Mar-14	Submitted
83	Hedge Securities & Investment Limited	31-Dec	31-Mar-14	Submitted
84	Heritage Capital Markets Limited	31-Dec	31-Mar-14	Submitted
85	Icon Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
86	IMTL Securities Limited	31-Dec	31-Mar-14	Submitted
87	Kinley Securities Limited	31-Dec	31-Mar-14	Submitted
88	Independent Securities Limited	31-Dec	31-Mar-14	Submitted
89	Integrated Trust & Investments Limited	31-Dec	31-Mar-14	Submitted
90	Interstate Securities Limited	31-Dec	31-Mar-14	Submitted
91	Investment Centre Limited	31-Dec	31-Mar-14	Submitted
92	Investment One Stockbrokers Int'l Limited	31-Dec	31-Mar-14	Submitted
93	Investment Shark & Asset Management Limited	31-Dec	31-Mar-14	Submitted
94	Investors & Trust Company Limited	31-Dec	31-Mar-14	Submitted

95	Kedari Capital Limited	31-Dec	31-Mar-14	Submitted
96	Kinley Finance Service Limited	31-Dec	31-Mar-14	Submitted
97	Kofana Securities Limited	31-Dec	31-Mar-14	Submitted
98	Kundila Finance Services Limited	31-Dec	31-Mar-14	Submitted
99	Lead Securities & Investment Limited	31-Dec	31-Mar-14	Submitted
100	Lighthouse Asset Management Limited	31-Dec	31-Mar-14	Submitted
101	Longterm Global Capital Limited	31-Dec	31-Mar-14	Submitted
102	Magnartis Finace & Investment Limited	31-Dec	31-Mar-14	Submitted
103	Mainland Trust Limitd	31-Dec	31-Mar-14	Submitted
104	Marimpex Finance & Investment Company Limited	31-Dec	31-Mar-14	Submitted
105	Marina Securities Stockbroking Services Limited	31-Dec	31-Mar-14	Submitted
106	Marriot Securities Limited	31-Dec	31-Mar-14	Submitted
107	Maven Asset Management Limited	31-Dec	31-Mar-14	Submitted
108	Maxifund Investment & Securities Plc	31-Dec	31-Mar-14	Submitted
109	Mayfield Investment Limited	31-Dec	31-Mar-14	Submitted
110	MBL Financial Services Limited	31-Dec	31-Mar-14	Submitted
111	Mc-Finerco Investment Limited	31-Dec	31-Mar-14	Submitted
112	Mercov Securities Limited	31-Dec	31-Mar-14	Submitted
113	Midas Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
114	Morgan Capital Securities Limited	31-Dec	31-Mar-14	Submitted
115	Mountain Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
116	Mutual Alliance Invest & Securities Limited	31-Dec	31-Mar-14	Submitted
117	Network Capital Limited	31-Dec	31-Mar-14	Submitted
118	Network Securities & Finance Limited	31-Dec	31-Mar-14	Submitted
119	Newdevco Investments & Securities Company Limited	31-Dec	31-Mar-14	Submitted
120	Nigerian International Securities Limited	31-Dec	31-Mar-14	Submitted
121	Nigerian Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
122	Nova Finance & Securities Limited	31-Dec	31-Mar-14	Submitted
123	Options Securities Limited	31-Dec	31-Mar-14	Submitted
124	Partnership Securities Limited	31-Dec	31-Mar-14	Submitted
125	Peace Capital Market Limited	31-Dec	31-Mar-14	Submitted
126	Perfecta Investment & Trust Limited	31-Dec	31-Mar-14	Submitted
127	Phronesis Securities Limited	31-Dec	31-Mar-14	Submitted
128	Pilot Securities Limited	31-Dec	31-Mar-14	Submitted
129	PIPC Securities Limited	31-Dec	31-Mar-14	Submitted
130	Portfolio Advisers Limited	31-Dec	31-Mar-14	Submitted
131	Primera Africa Securities Limited	31-Dec	31-Mar-14	Submitted
132	Primewealth Capital Limited	31-Dec	31-Mar-14	Submitted
133	PAC Securities Limited	31-Dec	31-Mar-14	Submitted
134	Prominent Securities Limited	31-Dec	31-Mar-14	Submitted
135	PSI Securities Limited	31-Dec	31-Mar-14	Submitted
136	Pyramid Securities Limited	31-Dec	31-Mar-14	Submitted
137	Quantum Securities Limited	31-Dec	31-Mar-14	Submitted
138	Rainbow Securities Limited	31-Dec	31-Mar-14	Submitted
139	Readings Investment Limited	31-Dec	31-Mar-14	Submitted
140	Redasel Investment Limited	31-Dec	31-Mar-14	Submitted
141	Rencap Securities (Nig) Limited	31-Dec	31-Mar-14	Submitted
142	Resano Securities Limited	31-Dec	31-Mar-14	Submitted
143	Reward Investment & Services Limited	31-Dec	31-Mar-14	Submitted
144	Rostrum Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
145	Rowet Capital Mgt Limited	31-Dec	31-Mar-14	Submitted
146	Royal Crest Finance Limited	31-Dec	31-Mar-14	Submitted
147	Royal Guaranty & Trust Limited	31-Dec	31-Mar-14	Submitted

148	Royal Trust Securities Limited	31-Dec	31-Mar-14	Submitted
149	Securities Africa Financials Limited	31-Dec	31-Mar-14	Submitted
150	Securities and Capital Management Company Limited	31-Dec	31-Mar-14	Submitted
151	SFC Securities Limited	31-Dec	31-Mar-14	Submitted
152	Shalom Investment & Financial Services Limited	31-Dec	31-Mar-14	Submitted
153	Shelong Investment Limited	31-Dec	31-Mar-14	Submitted
154	Sigma Securities Limited	31-Dec	31-Mar-14	Submitted
155	Signet Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
156	Skyview Capital Limited	31-Dec	31-Mar-14	Submitted
157	Smadac Securities Limited	31-Dec	31-Mar-14	Submitted
158	Spring Trust & Securities Limited	31-Dec	31-Mar-14	Submitted
159	Springboard Trust & Investment Limited	31-Dec	31-Mar-14	Submitted
160	Stanbic IBTC Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
161	Standard Alliance Capital & Asset Limited	31-Dec	31-Mar-14	Submitted
162	Standard Union Securities Ltd	31-Dec	31-Mar-14	Submitted
163	Stanwal Securities Limited	31-Dec	31-Mar-14	Submitted
165	Summa Guaranty & Trust Company Limited	31-Dec	31-Mar-14	Submitted
166	Supra Commercial Trust Company Limited	31-Dec	31-Mar-14	Submitted
167	TFS Securities & Investment Company Limited	31-Dec	31-Mar-14	Submitted
189	Zenith Securities Limited	31-Dec	31-Mar-14	Submitted
<b>INACTIVE AND SUBMITTED</b>				

INACTIVE AND NOT SUBMITTED				
3	Falcon Securities Limited	31-Dec	31-Mar-14	Not submitted
168	Tiddo Securities Limited	31-Dec	31-Mar-14	Submitted
169	Topmost Securities Limited	31-Dec	31-Mar-14	Submitted
170	Tower Assets Management Limited	31-Dec	31-Mar-14	Submitted
171	Tower Securities & Investment Company Limited	31-Dec	31-Mar-14	Submitted
172	Trade Link Securities Limited	31-Dec	31-Mar-14	Submitted
173	Traders Trust and Investment Company Limited	31-Dec	31-Mar-14	Submitted
174	Transafrika Securities Limited	31-Dec	31-Mar-14	Submitted
175	Trust Yields Securities Limited	31-Dec	31-Mar-14	Submitted
176	Trusthouse Investment Limited	31-Dec	31-Mar-14	Submitted
177	TRW Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
178	UBA Stockbrokers Limited	31-Dec	31-Mar-14	Submitted
179	UIDC Securities Limited	31-Dec	31-Mar-14	Submitted
180	UNEX Capital Limited	31-Dec	31-Mar-14	Submitted
181	Union Capital Markets Limited	31-Dec	31-Mar-14	Submitted
182	Valmon Securities Limited	31-Dec	31-Mar-14	Submitted
183	Valueline Securities & Investments Limited	31-Dec	31-Mar-14	Submitted
184	Woodland Capital Markets Plc	31-Dec	31-Mar-14	Submitted
185	Yuderb Investments & Securities Limited	31-Dec	31-Mar-14	Submitted
186	WSTC Financial Services Limited	31-Dec	31-Mar-14	Submitted
187	Yobe Investment & Securities Limited	31-Dec	31-Mar-14	Submitted
188	Yuderb Investment & Securities Limited	31-Dec	31-Mar-14	Submitted

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Arian Capital Management Limited	31-Dec	31-Mar-14	Submitted
2	ITIS Securities Limited	31-Dec	31-Mar-14	Submitted
3	LB Securities Limited	31-Dec	31-Mar-14	Submitted
4	Mainstreet Securities Limited	31-Dec	31-Mar-14	Submitted

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Davandy Finance Limited	31-Dec	31-Mar-14	Not submitted
2	Empire Securities Limited	31-Dec	31-Mar-14	Not submitted
4	First Alstate Securities Limited	31-Dec	31-Mar-14	Not submitted
5	Mact Securities Limited	31-Dec	31-Mar-14	Not submitted
6	Midpoint Capital Limited	31-Dec	31-Mar-14	Not submitted
7	Omas Investment & Trust Company Limited	31-Dec	31-Mar-14	Not submitted
8	Peninsula Asset Mgt & Invest Company Limited	31-Dec	31-Mar-14	Not submitted
9	Profund Securities Limited	31-Dec	31-Mar-14	Not submitted
10	Securities Trading & Investment Company Limited	31-Dec	31-Mar-14	Not submitted
11	Surport Services Limited	31-Dec	31-Mar-14	Not Submitted
12	Wizetrade Capital & Assets Mgt Limited	31-Dec	31-Mar-14	Not submitted
13	WT Securities Limited	31-Dec	31-Mar-14	Not submitted

**THE Nigerian  
STOCK EXCHANGE**



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## Audited Financial Statement

### DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 28TH FEBRUARY 2014

#### ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	ARM Securities Limited	FEBRUARY	30-May-14	Submitted
2	Lambeth Trust & Investment Co. Ltd	FEBRUARY	30-May-14	Submitted

#### ACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Waila Securities and Funds Limited	FEBRUARY	30-May-14	Not Submitted



**ACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Afrinvest West Africa Limited	MARCH	30-Jun-14	Submitted
2	Cardinal Stone Securities Limited	MARCH	30-Jun-14	Submitted
3	Centre Point Investment Limited	MARCH	30-Jun-14	Submitted
4	Citi Investment Capital Ltd	MARCH	30-Jun-14	Submitted
5	DBSL Securities Limited	MARCH	30-Jun-14	Submitted
6	Dynamic Portfolio Limited	MARCH	30-Jun-14	Submitted
7	ARM Securities Limited	MARCH	30-Jun-14	Submitted
8	Forthright Securities & Investment Limited	MARCH	30-Jun-14	Submitted
9	ICMG Securities Limited	MARCH	30-Jun-14	Submitted
10	Imperial Assets Managers Limited	MARCH	30-Jun-14	Submitted
11	Kapital Care Trust & Securities Limited	MARCH	30-Jun-14	Submitted
12	MBC Securities Limited	MARCH	30-Jun-14	Submitted
13	Mega Equities Ltd	MARCH	30-Jun-14	Submitted
14	Mission Securities Limited	MARCH	30-Jun-14	Submitted
15	Molten Trust Limited	MARCH	30-Jun-14	Submitted
16	Pinefields Investment Services Limited	MARCH	30-Jun-14	Submitted
17	Pivot Trust & Investment Co. Limited	MARCH	30-Jun-14	Submitted
18	Regency Assets Management Ltd	MARCH	30-Jun-14	Submitted
19	Santrust Securities Limited	MARCH	30-Jun-14	Submitted
20	Solid Rock Securities & Investment Plc	MARCH	30-Jun-14	Submitted
21	Tomil Trust Limited	MARCH	30-Jun-14	Submitted
22	Vetiva Securities Limited	MARCH	30-Jun-14	Submitted

**INACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Monument Sec & Finance Ltd	MARCH	30-Jun-14	Submitted

**INACTIVE AND NOT SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Decanon Investment Limited	MARCH	30-Jun-14	Not submitted
2	Maninvest Asset Management Plc	MARCH	30-Jun-14	Not submitted
3	Prudential Securities Limited	MARCH	30-Jun-14	Not submitted
4	Securities Solutions Limited	MARCH	30-Jun-14	Not submitted



**THE Nigerian  
STOCK EXCHANGE**

**Audited Accounts**

**BrokerTraX**

**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST MAY 2014**

**ACTIVE AND SUBMITTED**

<b>S/N</b>	<b>FIRM</b>	<b>YEAR END</b>	<b>DUE DATE</b>	<b>STATUS</b>
1	PAC Securities Limited	April	31-Jul-14	Submitted





**THE Nigerian  
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**Audited Accounts**

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**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST MAY, 2014**

**ACTIVE AND SUBMITTED**

<b>S/N</b>	<b>FIRM</b>	<b>YEAR END</b>	<b>DUE DATE</b>	<b>STATUS</b>
1	Chartwell Securities Limited	MAY	31-Aug-14	Submitted



**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 30TH JUNE 2014**

**ACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Emi Capital resources Limited	June	30-Sep-14	Submitted
2	Security Swaps Limited	June	30-Sep-14	Submitted
3	Abyn Investment Limited	June	30-Sep-14	Submitted

**INACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Vision Trust & Investment Limited	June	30-Sep-14	Submitted

**INACTIVE AND NOT SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Allbond Investment Limited	June	30-Sep-14	Not Submitted
2	Consolidated Investments Limited	June	30-Sep-14	Not Submitted
3	Lion Stockbrokers Limited	June	30-Sep-14	Not Submitted
4	Professional Stockbrokers Ltd	June	30-Sep-14	Not Submitted



**THE Nigerian  
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**Audited Accounts**

**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31ST JULY 2014**

## ACTIVE AND SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Finmal Services Limited	July	31-Oct-14	Submitted

## INACTIVE AND NOT SUBMITTED

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Zuma Securities Limited	July	31-Oct-14	Not Submitted



**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2014**

**ACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE	STATUS
1	Adonai Stockbrokers Limited	December	31-Mar-15	Submitted
2	Adamawa Securities Limited	December	31-Mar-15	Submitted
3	Amyr Investment Limited	December	31-Mar-15	Submitted
4	African Alliance Stockbrokers Limited	December	31-Mar-15	Submitted
5	ARM Securities Limited	December	31-Mar-15	Submitted
6	Alangrange Securities Limited	December	31-Mar-15	Submitted
7	Altrade Securities Limited	December	31-Mar-15	Submitted
8	Amyr Investment Limited	December	31-Mar-15	Submitted
9	Anchorage Securities & Finance Limited	December	31-Mar-15	Submitted
10	Anchoria Investment & Securities Limited	December	31-Mar-15	Submitted
11	Apel Asset Limited	December	31-Mar-15	Submitted
12	Apt Securities & Funds Limited	December	31-Mar-15	Submitted
13	ARM Securities Limited	December	31-Mar-15	Submitted
14	Arthur Stevens Asset Management Limited	December	31-Mar-15	Submitted
15	Associated Asset Managers Limited	December	31-Mar-15	Submitted
16	Atlass Portfolio Limited	December	31-Mar-15	Submitted
164	Primera Africa Securities Limited	December	31-Mar-15	Submitted
17	Belfry Investment & Securities Limited	December	31-Mar-15	Submitted
18	Bestlink Investment Limited	December	31-Mar-15	Submitted
19	Bestworth Assets & Trust Limited	December	31-Mar-15	Submitted
20	Cadington Securities Limited	December	31-Mar-15	Submitted
21	Calyx Securities Limited	December	31-Mar-15	Submitted
22	Camry Securities Limited	December	31-Mar-15	Submitted
23	Capital Asset Limited	December	31-Mar-15	Submitted
24	Capital Bancorp Plc	December	31-Mar-15	Submitted
25	Capital Express Securities Limited	December	31-Mar-15	Submitted
26	Capital Trust Brokers Limited	December	31-Mar-15	Submitted
27	Cardinal Stone Securities Ltd	December	31-Mar-15	Submitted
28	Cashcraft Securities Limited	December	31-Mar-15	Submitted
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30	CDL Capital Markets Limited	December	31-Mar-15	Submitted
31	Centre Point Investment Limited	December	31-Mar-15	Submitted
32	Century Securities Limited	December	31-Mar-15	Submitted
33	Chapel Hill Denham Securities Limited	December	31-Mar-15	Submitted
34	Crossworld Securities Limited	December	31-Mar-15	Submitted
35	Chartwell Securities Limited	December	31-Mar-15	Submitted
36	Citi Investment Capital Limited	December	31-Mar-15	Submitted
37	City Code Trust & Investment Company Limited	December	31-Mar-15	Submitted
38	Clearview Investment & Securities Limited	December	31-Mar-15	Submitted
39	Compass Investment & Securities Limited	December	31-Mar-15	Submitted
40	Cordros Capital Limited	December	31-Mar-15	Submitted
41	Core Trust & Investment Limited	December	31-Mar-15	Submitted
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60	Foresight Securities Limited	December	31-Mar-15	Submitted
61	ECL Asset Management Limited	December	31-Mar-15	Submitted
62	EDC Securities Limited	December	31-Mar-15	Submitted
63	Edgefield Capital Management Limited	December	31-Mar-15	Submitted
64	EFCP Limited	December	31-Mar-15	Submitted
65	Elixir Securities Limited	December	31-Mar-15	Submitted
66	Enterprise Stockbrokers Limited	December	31-Mar-15	Submitted
67	Equity Capital Solutions Limited	December	31-Mar-15	Submitted
68	Eurocomm Securities Limited	December	31-Mar-15	Submitted
69	Excel Securities Limited	December	31-Mar-15	Submitted
70	Express Discount Asset Management Limited	December	31-Mar-15	Submitted
71	Express Portfolio Services Limited	December	31-Mar-15	Submitted
72	FBC Trust & Securities Limited	December	31-Mar-15	Submitted
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76	Fidelity Securities Limited	December	31-Mar-15	Submitted
77	Financial Trust Company Limited	December	31-Mar-15	Submitted
78	Finbank Securities & Assets Management Limited	December	31-Mar-15	Submitted
79	Finmal Finance Services Limited	December	31-Mar-15	Submitted
80	First Integrated Capital Management Limited	December	31-Mar-15	Submitted
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86	FSDH Securities Limited	December	31-Mar-15	Submitted
87	Kinley Securities Limited	December	31-Mar-15	Submitted
88	Funds Matrix & Assets Mgt Limited	December	31-Mar-15	Submitted
89	Futureview Securities Limited	December	31-Mar-15	Submitted
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91	Gidauniya Investment & Securities Limited	December	31-Mar-15	Submitted
92	Global Asset Management (Nig) Limited	December	31-Mar-15	Submitted
93	Global View Consult & Investment Limited	December	31-Mar-15	Submitted
94	GMT Securities & Asset Mangement Limited	December	31-Mar-15	Submitted
95	Golden Securities Limited	December	31-Mar-15	Submitted
96	Greenwich Securities Limited	December	31-Mar-15	Submitted
97	Gruene Capital Limited	December	31-Mar-15	Submitted
98	GTI Securities Limited	December	31-Mar-15	Submitted
99	Harmony Securities Limited	December	31-Mar-15	Submitted
100	Heartbeat Investment Limited	December	31-Mar-15	Submitted
101	Hedge Securities & Investment Limited	December	31-Mar-15	Submitted
102	Heritage Capital Markets Limited	December	31-Mar-15	Submitted
103	Horizon Stockbrokers Limited	December	31-Mar-15	Submitted
104	ICMG securities Limited	December	31-Mar-15	Submitted
105	Icon Stockbrokers Limited	December	31-Mar-15	Submitted
106	Imperial Assets Managers Limited	December	31-Mar-15	Submitted
107	Independent Securities Limited	December	31-Mar-15	Submitted
108	Integrated Trust & Investment Limited	December	31-Mar-15	Submitted
109	Interstate Securities Limited	December	31-Mar-15	Submitted
110	Investment Centre Limited	December	31-Mar-15	Submitted
111	Investment One Stockbrokers International Limited	December	31-Mar-15	Submitted

112	Investment Shark & Asset Mgt Ltd	December	31-Mar-15	Submitted
113	Investors & Trust Company Limited	December	31-Mar-15	Submitted
114	Kapital Care Trust & Securities Limited	December	31-Mar-15	Submitted
115	Kedari Capital Limited	December	31-Mar-15	Submitted
116	Kinley Securities Limited	December	31-Mar-15	Submitted
117	Kofana Securities & Investment Limited	December	31-Mar-15	Submitted
118	Kundila Finance Services Limited	December	31-Mar-15	Submitted
119	Lambeth Trust & Investment Company Limited	December	31-Mar-15	Submitted
120	Lead Securities & Investment Limited	December	31-Mar-15	Submitted
121	Lighthouse Asset Management Limited	December	31-Mar-15	Submitted
122	Long Term Global Capital Limited	December	31-Mar-15	Submitted
123	Magnartis Finance & Investment Limited	December	31-Mar-15	Submitted
124	Mainland Trust Limited	December	31-Mar-15	Submitted
125	Mainstreet Bank Securities Limited	December	31-Mar-15	Submitted
126	Marimpex Finance & Investment Company Limited	December	31-Mar-15	Submitted
127	Marina Securities Stockbroking Services Limited	December	31-Mar-15	Submitted
128	Marriot Securities Limited	December	31-Mar-15	Submitted
129	Maven Asset Management Limited	December	31-Mar-15	Submitted
130	Maxifund Investment & Securities Plc	December	31-Mar-15	Submitted
131	Mayfield Investment Limited	December	31-Mar-15	Submitted
132	MBC Securities Limited	December	31-Mar-15	Submitted
133	PAC Securities Limited	December	31-Mar-15	Submitted
134	MBL Financial Services Limited	December	31-Mar-15	Submitted
135	Mega Equities Limited	December	31-Mar-15	Submitted
136	Mega Equities Limited	December	31-Mar-15	Submitted
137	Mercov Securities Limited	December	31-Mar-15	Submitted
138	Meristem Stockbrokers Limited	December	31-Mar-15	Submitted
139	Midas Stockbrokers Limited	December	31-Mar-15	Submitted
140	Milestone Capital Management Limited	December	31-Mar-15	Submitted
141	Mission Securities Limited	December	31-Mar-15	Submitted
142	Molten Trust Limited	December	31-Mar-15	Submitted
143	Morgan Capital Securities Limited	December	31-Mar-15	Submitted
144	Mountain Investment & Securities Limited	December	31-Mar-15	Submitted
145	Mutual Alliance Investment & Securities Limited	December	31-Mar-15	Submitted
146	Network Capital Limited	December	31-Mar-15	Submitted
147	Networth Securities & Finance Limited	December	31-Mar-15	Submitted
148	Newdevco Finance Services Company Limited	December	31-Mar-15	Submitted
149	Nigerian International Securities Ltd	December	31-Mar-15	Submitted
150	Nigerian Stockbrokers Limited	December	31-Mar-15	Submitted
151	Nova Finance & Securities Limited	December	31-Mar-15	Submitted
152	Options Securities Limited	December	31-Mar-15	Submitted
153	PAC Securities Limited	December	31-Mar-15	Submitted
154	Partnership Securities Limited	December	31-Mar-15	Submitted
155	Peace Capital Markets Ltd	December	31-Mar-15	Submitted
156	Perfecta Investment & Trust Limited	December	31-Mar-15	Submitted
157	Phronesis Securities Limited	December	31-Mar-15	Submitted
158	Pilot Securities Limited	December	31-Mar-15	Submitted
159	Pinefields Investment Services Limited	December	31-Mar-15	Submitted
160	PIPC Securities Limited	December	31-Mar-15	Submitted
161	Pivot Trust & Investment Co. Limited	December	31-Mar-15	Submitted
162	Planet Capital Limited	December	31-Mar-15	Submitted
163	Portfolio Advisers Limited	December	31-Mar-15	Submitted
165	Primewealth Capital Limited	December	31-Mar-15	Submitted
166	Prominent Securities Limited	December	31-Mar-15	Submitted
167	PSI Securities Limited	December	31-Mar-15	Submitted
189	SFC Securities Limited	December	31-Mar-15	Submitted
192	Shelong Investments Limited	December	31-Mar-15	Submitted
199	Standard Alliance Capital Asset Mgt Limited	December	31-Mar-15	Submitted
203	The Bridge Securities Limited	December	31-Mar-15	Submitted
168	Pyramid Securities Limited	December	31-Mar-15	Submitted
169	Quantum Securities Limited	December	31-Mar-15	Submitted

170	Rainbow Securities Limited	December	31-Mar-15	Submitted
171	Readings Investment Limited	December	31-Mar-15	Submitted
172	Redasel Investments Limited	December	31-Mar-15	Submitted
173	Redasel Investments Limited	December	31-Mar-15	Submitted
174	Regency Asset Management Limited	December	31-Mar-15	Submitted
175	Rencap Securities (Nig) Limited	December	31-Mar-15	Submitted
176	Resano Securities Limited	December	31-Mar-15	Submitted
177	Resort Securities Limited	December	31-Mar-15	Submitted
178	Reward Investment & Service Limited	December	31-Mar-15	Submitted
179	Rostrum Investment & Sec Limited	December	31-Mar-15	Submitted
180	Rowet Capital Mgt Limited	December	31-Mar-15	Submitted
181	Royal Crest Finance Limited	December	31-Mar-15	Submitted
182	Royal Guaranty & Trust Limited	December	31-Mar-15	Submitted
183	Royal Trust Securities Limited	December	31-Mar-15	Submitted
184	Santrust Securities Limited	December	31-Mar-15	Submitted
185	Yuderb Investments & Securities Limited	December	31-Mar-15	Submitted
186	Securities Africa Financial Limited	December	31-Mar-15	Submitted
187	Securities and Capital Management Company Limited	December	31-Mar-15	Submitted
188	Security Swaps Limited	December	31-Mar-15	Submitted
190	Shalom Investment & Financial Services Limited	December	31-Mar-15	Submitted
191	Vision Trust & Investment Limited	December	31-Mar-15	Submitted
193	Sigma Securities Limited	December	31-Mar-15	Submitted
194	Signet Investment & Securities Limited	December	31-Mar-15	Submitted
195	Skyview Capital Ltd	December	31-Mar-15	Submitted
196	Smadac Securities Limited	December	31-Mar-15	Submitted
197	Solid Rock Securities & Investment Plc	December	31-Mar-15	Submitted
198	Springboard Trust & Investment Limited	December	31-Mar-15	Submitted
200	Standard Union Securities Limited	December	31-Mar-15	Submitted
201	Stanwal Securities Limited	December	31-Mar-15	Submitted
202	TFS Securities & Investment Company Limited	December	31-Mar-15	Submitted
204	Tomil Trust Limited	December	31-Mar-15	Submitted
205	Topmost Finance & Investment Limited	December	31-Mar-15	Submitted
206	Tower Securities & Investment Company Limited	December	31-Mar-15	Submitted
207	Trade Link Securities Limited	December	31-Mar-15	Submitted
208	Traders Trust & Investment Co. Limited	December	31-Mar-15	Submitted
209	Transafrika Financial Services Limited	December	31-Mar-15	Submitted
210	Trust Yield Securities Limited	December	31-Mar-15	Submitted
211	TrustBanc Capital Management Limited	December	31-Mar-15	Submitted
212	Trusthouse Investment Limited	December	31-Mar-15	Submitted
213	TRW Stockbrokers Limited	December	31-Mar-15	Submitted
214	UIDC Securities Limited	December	31-Mar-15	Submitted

215	Unex Capital Limited	December	31-Mar-15	Submitted
216	Union Capital Markets Limited	December	31-Mar-15	Submitted
217	United Capital Securities Limited	December	31-Mar-15	Submitted
218	Valmon Securities Limited	December	31-Mar-15	Submitted
219	Valueline Securities & Investment Limited	December	31-Mar-15	Submitted
220	Vetiva Securities Limited	December	31-Mar-15	Submitted
221	WSTC Financial Services Limited	December	31-Mar-15	Submitted
222	Yobe Investment & Securities Limited	December	31-Mar-15	Submitted
223	Yuderb Investment & Securities Limited	December	31-Mar-15	Submitted
224	Zenith Securities Limited	December	31-Mar-15	Submitted

**INACTIVE AND SUBMITTED**

1	Arian Capital Management Limited	December	31-Mar-15	Submitted
2	ITIS Securities Limited	December	31-Mar-15	Submitted
3	PML Securities & Company Limited	December	31-Mar-15	Submitted
4	Supra Commercial Trust Company Limited	December	31-Mar-15	Submitted
5	Vision Trust & Investment Limited	December	31-Mar-15	Submitted

**NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS**

1	Bauchi Investment Corp. Sec Limited	DECEMBER	31-Mar-15	Not submitted
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**INACTIVE AND NOT SUBMITTED**

1	Aims Asset Management Limited	December	31-Mar-15	Not Submitted
2	Allbond Investment Limited	December	31-Mar-15	Not Submitted
3	BGL Securities Limited	December	31-Mar-15	Not Submitted
4	Bytofel Trust & Securities Limited	December	31-Mar-15	Not Submitted
5	CEB Securities Limited	December	31-Mar-15	Not Submitted
6	Consolidated Investment Limited	December	31-Mar-15	Not Submitted
8	Davandy Finance & Securities Limited	December	31-Mar-15	Not Submitted
9	Decanon Investment Limited	December	31-Mar-15	Not Submitted
10	Emi Capital Resources Limited	December	31-Mar-15	Not Submitted
11	Empire Securities Limited	December	31-Mar-15	Not Submitted
12	Falcon Securities Limited	December	31-Mar-15	Not Submitted
13	First Alstate Securities Limited	December	31-Mar-15	Not Submitted
14	Fittco Securities Limited	December	31-Mar-15	Not Submitted
15	Gombe Securities Limited	December	31-Mar-15	Not Submitted
16	HIP Asset Management Limited	December	31-Mar-15	Not Submitted
17	LB Securities Limited	December	31-Mar-15	Not Submitted
18	Lion Stockbrokers Limited	December	31-Mar-15	Not Submitted
19	LMB Stockbrokers Limited	December	31-Mar-15	Not Submitted
20	Mact Securities Limited	December	31-Mar-15	Not Submitted
21	Maninvest Asset Management Plc	December	31-Mar-15	Not Submitted
22	Metropolitan Trust Nigeria Limited	December	31-Mar-15	Not Submitted
23	Midpoint Capital Limited	December	31-Mar-15	Not Submitted
41	Monument Sec & Finance Limited	December	31-Mar-15	Not Submitted
42	Northbridge Investment & Trust Limited	December	31-Mar-15	Not Submitted
43	Omas Investment & Trust Company Limited	December	31-Mar-15	Not Submitted
28	Peninsula Asset Management & Investment Company Limited	December	31-Mar-15	Not Submitted
29	Platinum Capital Limited	December	31-Mar-15	Not Submitted
30	Professional Stockbrokers Limited	December	31-Mar-15	Not Submitted
31	Profund Securities Limited	December	31-Mar-15	Not Submitted
32	Prudential Securities Limited	December	31-Mar-15	Not Submitted
33	Regency Finance Limited	December	31-Mar-15	Not Submitted
34	Securities Solutions Limited	December	31-Mar-15	Not Submitted
35	Securities Trading & Investments Limited	December	31-Mar-15	Not Submitted
36	Surport Services Limited	December	31-Mar-15	Not Submitted
37	Waila Securities and Funds Limited	December	31-Mar-15	Not Submitted
38	WizeTrade Capital & Asset Management Limited	December	31-Mar-15	Not Submitted
39	WT Securities Limited	December	31-Mar-15	Not Submitted
40	Zuma Securities Limited	December	31-Mar-15	Not Submitted



**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2015**

**ACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE
1	Adonai Stockbrokers Limited	December	31-Mar-16
2	African Alliance Stockbrokers Limited	December	31-Mar-16
3	Afrinvest Securities Limited	December	31-Mar-16
4	Alangrange Securities Limited	December	31-Mar-16
5	Anchoria Investment & Securities Limited	December	31-Mar-16
6	Apel Asset Limited	December	31-Mar-16
7	Apt Securities & Funds Limited	December	31-Mar-16
8	ARM Securities Limited	December	31-Mar-16
9	ARM Securities Limited	December	31-Mar-16
10	Arthur Stevens Asset Management Limited	December	31-Mar-16
11	Associated Asset Managers Limited	December	31-Mar-16
12	Atlass Portfolio Limited	December	31-Mar-16
13	Belfry Investment & Securities Limited	December	31-Mar-16
14	Bestworth Assets & Trust Limited	December	31-Mar-16
15	Calyx Securities Limited	December	31-Mar-16
16	Camry Securities Limited	December	31-Mar-16
17	Capital Assets Limited	December	31-Mar-16
18	Capital Bancorp Plc	December	31-Mar-16
19	Capital Express Securities Limited	December	31-Mar-16
20	Capital Trust Brokers Limited	December	31-Mar-16
21	CardinalStone Securities Limited	December	31-Mar-16
22	Cashcraft Securities Limited	December	31-Mar-16
23	Cashville Investment & Securities Limited	December	31-Mar-16
24	CDL Capital Markets Limited	December	31-Mar-16
25	Centre Point Investment Limited	December	31-Mar-16
26	Century Securities Limited	December	31-Mar-16
27	Chapel Hill Denham Securities Limited	December	31-Mar-16
28	Chartwell Securities Limited	December	31-Mar-16
29	Citi Investment Capital Limited	December	31-Mar-16
30	City Code Trust & Investment Company Limited	December	31-Mar-16
31	Clearview Investments Company Limited	December	31-Mar-16
32	Compass Investment & Securities Limited	December	31-Mar-16
33	Cordros Capital Limited	December	31-Mar-16
34	Core Trust & Investment Limited	December	31-Mar-16
35	Coronation Securities Limited	December	31-Mar-16
36	Cowry Securities Limited	December	31-Mar-16
37	Crossworld Securities Limited	December	31-Mar-16
38	Crown Capital Limited	December	31-Mar-16
39	CSL Stockbrokers Limited	December	31-Mar-16
40	Deep Trust Investment Limited	December	31-Mar-16
41	Delords Securities Limited	December	31-Mar-16
42	Dependable Securities Limited	December	31-Mar-16
43	Diamond Securities Limited	December	31-Mar-16
44	Dominion Trust Limited	December	31-Mar-16
45	DSU Brokerage Services Limited	December	31-Mar-16
46	Dunbell Securities Limited	December	31-Mar-16
47	Dunn Loren Merrifield Securities Limited	December	31-Mar-16
48	Dynamic Portfolio Limited	December	31-Mar-16
49	EDC Securities Limited	December	31-Mar-16
50	Edgefield Capital Management Limited	December	31-Mar-16
51	EFCP Limited	December	31-Mar-16
52	Elixir Securities Limited	December	31-Mar-16

53	Enterprise Stockbrokers Limited	December	31-Mar-16
54	Equity Capital Solutions Limited	December	31-Mar-16
55	Eurocomm Securities Limited	December	31-Mar-16
56	Express Discount Asset Management Limited	December	31-Mar-16
57	Express Portfolio Services Limited	December	31-Mar-16
58	Falcon Securities Limited	December	31-Mar-16
59	FBN Securities Limited	December	31-Mar-16
60	FCSL Asset Management Company Limited	December	31-Mar-16
61	Fidelity Finance Company Limited	December	31-Mar-16
62	Fidelity Securities Limited	December	31-Mar-16
63	Financial & Analytics Capial Limited	December	31-Mar-16
64	Financial Trust Company Limited	December	31-Mar-16
65	Finmal Finance Services Limited	December	31-Mar-16
66	First Integrated Capital Manangement Limited	December	31-Mar-16
67	First Stockbrokers Limited	December	31-Mar-16
68	FIS Securities Limited	December	31-Mar-16
69	Foresight Securities Limited	December	31-Mar-16
70	Forte Financial Limited	December	31-Mar-16
71	Forthright Securities & Investments Limited	December	31-Mar-16
72	Fortress Capital Limited	December	31-Mar-16
73	FSDH Securities Limited	December	31-Mar-16
74	Funds Matrix & Assets Mgt Limited	December	31-Mar-16
75	Futureview Securities Limited	December	31-Mar-16
76	Gidauniya Investment & Securities Limited	December	31-Mar-16
77	Global Asset Management (Nig) Limited	December	31-Mar-16
78	Global View Consult & Investment Limited	December	31-Mar-16
79	Golden Securities Limited	December	31-Mar-16
80	Greenwich Securities Limited	December	31-Mar-16
81	Gruene Capital Limited	December	31-Mar-16
82	GTI Securities Limited	December	31-Mar-16
83	Harmony Securities Limited	December	31-Mar-16
84	Heartbeat Investment Limited	December	31-Mar-16
85	Hedge Securities & Investment Limited	December	31-Mar-16
86	Heritage Capital Markets Limited	December	31-Mar-16
87	ICMG securities Limited	December	31-Mar-16
88	Icon Stockbrokers Limited	December	31-Mar-16
89	Imperial Assets Managers Limited	December	31-Mar-16
90	Independent Securities Limited (now Qualinvest Capital Limited)	December	31-Mar-16
91	Integrated Trust & Investments Limited	December	31-Mar-16
92	Interstate Securities Limited	December	31-Mar-16
93	Investment Centre Limited	December	31-Mar-16
94	Investment One Stockbrokers International Limited	December	31-Mar-16
95	Investors & Trust Company Limited	December	31-Mar-16
96	Kapital Care Trust & Securities Limited	December	31-Mar-16
97	Kedari Capital Limited	December	31-Mar-16
98	Kinley Securities Limited	December	31-Mar-16
99	Kofana Securities & Investment Limited	December	31-Mar-16
100	Kundila Finance Services Limited	December	31-Mar-16
101	Lambeth Trust & Investment Company Limited	December	31-Mar-16
102	Lead Securities & Investment Limited	December	31-Mar-16
103	Lighthouse Asset Management Limited	December	31-Mar-16
104	Longterm Global Capital Limited	December	31-Mar-16
105	Magnartis Finance & Investment Limited	December	31-Mar-16
106	Mainstreet Bank Securities Limited	December	31-Mar-16
107	Marriot Securities & Investment Company Limited	December	31-Mar-16
108	Maxifund Investments & Securities Plc	December	31-Mar-16
109	Mayfield Investment Limited	December	31-Mar-16
110	MBC Securities Limited	December	31-Mar-16
111	MBL Financial Services Limited	December	31-Mar-16
112	Mega Equities Limited	December	31-Mar-16
113	Meristem Stockbrokers Limited	December	31-Mar-16

114	Midas Stockbrokers Limited	December	31-Mar-16
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115	Milestone Capital Management Limited	December	31-Mar-16
116	Mission Securities Limited	December	31-Mar-16
117	Molten Trust Limited	December	31-Mar-16
118	Morgan Capital Securities Limited	December	31-Mar-16
119	Mountain Investment & Securities Limited	December	31-Mar-16
120	Mutual Alliance Investment & Securities Limited	December	31-Mar-16
121	Network Capital Limited	December	31-Mar-16
122	Networth Securities & Finance Limited	December	31-Mar-16
123	Newdevco Investment & Securities Company Limited	December	31-Mar-16
124	Nigerian International Securities Limited	December	31-Mar-16
125	Nigerian Stockbrokers Limited	December	31-Mar-16
126	Nova Finance & Securities Limited	December	31-Mar-16
127	PAC Securities Limited	December	31-Mar-16
128	Partnership Securities Limited	December	31-Mar-16
129	Peace Capital Market Ltd	December	31-Mar-16
130	Phronesis Securities Limited	December	31-Mar-16
131	Pilot Securities Limited	December	31-Mar-16
132	Pinefields Investment Services Limited	December	31-Mar-16
133	PIPC Securities Limited	December	31-Mar-16
134	Pivot Trust & Investment Co. Limited	December	31-Mar-16
135	Planet Capital Limited	December	31-Mar-16
136	Portfolio Advisers Limited	December	31-Mar-16
137	Primera Africa Securities Limited	December	31-Mar-16
138	Primewealth Capital Limited	December	31-Mar-16
139	Prominent Securities Limited	December	31-Mar-16
140	Pyramid Securities Limited	December	31-Mar-16
141	Quantum Securities Limited	December	31-Mar-16
142	Rainbow Securities & Investment Company Limited	December	31-Mar-16
143	Readings Investment Limited	December	31-Mar-16
144	Regency Asset Management Limited	December	31-Mar-16
145	Rencap Securities (Nig) Limited	December	31-Mar-16
146	Reward Investments & Services Limited	December	31-Mar-16
147	Rostrum Investment & Sec Limited	December	31-Mar-16

148	Royal Guaranty & Trust Limited	December	31-Mar-16
149	Royal Trust Securities Limited	December	31-Mar-16
150	Santrust Securities Limited	December	31-Mar-16
151	Securities Africa Financial Limited	December	31-Mar-16
152	Securities and Capital Management Company Limited	December	31-Mar-16
153	Security Swaps Limited	December	31-Mar-16
154	SFC Securities Limited	December	31-Mar-16
155	Shelong Investments Limited	December	31-Mar-16
156	Sigma Securities Limited	December	31-Mar-16
157	Signet Investment & Securities Limited	December	31-Mar-16
158	Skyview Capital Limited	December	31-Mar-16
159	Smadac Securities Limited	December	31-Mar-16
160	Solid Rock Securities & Investment Plc	December	31-Mar-16
161	Spring Trust & Securities Limited	December	31-Mar-16
162	Springboard Trust & Investment Limited	December	31-Mar-16
163	Stanbic IBTC Stockbrokers Limited	December	31-Mar-16
164	Standard Alliance Capital and Asset Managment Limited	December	31-Mar-16
165	Standard Union Securities Limited	December	31-Mar-16
166	TFS Securities & Investment Company Limited	December	31-Mar-16
167	The Bridge Securities Limited	December	31-Mar-16
168	Tiddo Securities Limited	December	31-Mar-16
169	Tomil Trust Limited	December	31-Mar-16
170	Topmost Finance & Investment Limited	December	31-Mar-16
171	Tower Asset Management Limited	December	31-Mar-16
172	Tower Securities & Investment Company Limited	December	31-Mar-16
173	Trade Link Securities Limited	December	31-Mar-16
174	Traders Trust & Investment Co. Limited	December	31-Mar-16
175	Transworld Investment & Securities Limited	December	31-Mar-16
176	Trust Yield Securities Limited	December	31-Mar-16
177	Trustbanc Capital Management limited	December	31-Mar-16
178	Trusthouse Investment Limited	December	31-Mar-16
179	TRW Stockbrokers Limited	December	31-Mar-16
180	Tyndale Securities Limited	December	31-Mar-16
181	Unex Capital Limited	December	31-Mar-16
182	Union Capital Markets Limited	December	31-Mar-16
183	United Capital Securities Limited	December	31-Mar-16
184	Valmon Securities Limited	December	31-Mar-16
185	Valueline Securities & Investment Limited	December	31-Mar-16
186	Vetiva Securities Limited	December	31-Mar-16
187	Woodland Capital Markets Plc	December	31-Mar-16
188	WSTC Financial Services Limited	December	31-Mar-16
189	Zenith Securities Limited	December	31-Mar-16
190	Zion Stockbrokers Limited	December	31-Mar-16

INACTIVE AND SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Anchorage Securities & Finance Limited	December	31-Mar-16
2	Arian Capital Management Limited	December	31-Mar-16
3	Bestlink Investment Limited	December	31-Mar-16
4	Cradle Trust Finance & Securities Limited	December	31-Mar-16
5	Crane Securities Limited	December	31-Mar-16
6	ECL Asset Management Limited	December	31-Mar-16
7	FBC Trust & Securities Limited	December	31-Mar-16
8	Gem Assets Management Limited	December	31-Mar-16
9	Horizon Stockbrokers Limited	December	31-Mar-16
10	Investment Shark & Asset Mgt Ltd	December	31-Mar-16
11	Mainland Trust Limited	December	31-Mar-16
12	Marimpex Finance & Investment Company Limited	December	31-Mar-16
13	Maven Asset Management Limited	December	31-Mar-16
14	Options Securities Limited	December	31-Mar-16
15	Perfecta Investment & Trust Limited	December	31-Mar-16
16	PSI Securities Limited	December	31-Mar-16
17	Resort Securities & Trust Limited	December	31-Mar-16
18	Rowet Capital Mgt Limited	December	31-Mar-16
19	Royal Crest Finance Limited	December	31-Mar-16
20	Shalom Investment & Financial Services Limited	December	31-Mar-16
21	Summa Guaranty & Trust Company Limited	December	31-Mar-16
22	Supra Commercial Trust Company Limited	December	31-Mar-16
23	Transafrika Financial Services Limited	December	31-Mar-16
24	Vision Trust & Investment Limited	December	31-Mar-16
25	Yobe Investment and Securities Limited	December	31-Mar-16
26	Yuderb Investments & Securities Limited	December	31-Mar-16

INACTIVE AND NOT SUBMITTED			
S/N	FIRM	Year End	Due Date
1	Adamawa Securities Limited	December	31-Mar-16
2	Aims Asset Management Limited	December	31-Mar-16
3	Bauchi Investment Corp. Sec Limited	December	31-Mar-16
4	Bytofel Securities and Investment Limited	December	31-Mar-16
5	Cadington Securities Limited	December	31-Mar-16
6	CEB Securities Limited	December	31-Mar-16
7	Covenant Securities & Asset Management Limited	December	31-Mar-16
8	Excel Securities Limited	December	31-Mar-16
9	Finbank Securities & Assets Management Limited	December	31-Mar-16
10	First Alstate Securities Limited	December	31-Mar-16
11	GMT Securities & Asset Management Limited	December	31-Mar-16
12	Gombe Securities Limited	December	31-Mar-16
13	International Standard Securities Limited	December	31-Mar-16
14	ITIS Securities Limited	December	31-Mar-16
15	Kakawa Asset Management Limited	December	31-Mar-16
16	LB Securities Limited	December	31-Mar-16
17	Lion Stockbrokers Limited	December	31-Mar-16
18	LMB Stockbrokers Limited	December	31-Mar-16
20	Mercov Securities Limited	December	31-Mar-16
21	Midpoint Capital Limited	December	31-Mar-16
22	ML Securities Limited	December	31-Mar-16
23	Monument Sec & Finance Limited	December	31-Mar-16
24	Northbridge Investment and Trust Limited	December	31-Mar-16
25	PML Securities and Company Limited	December	31-Mar-16
26	Professional Stockbrokers Limited	December	31-Mar-16
27	Profund Securities Limited	December	31-Mar-16
28	Redasel Investments Limited	December	31-Mar-16
29	Resano Securities Limited	December	31-Mar-16

30	Surport Services Limited	December	31-Mar-16
31	Stanwal Securities Limited	December	31-Mar-16
32	UIDC Securities Limited	December	31-Mar-16
33	Waila Securities and Funds Limited	December	31-Mar-16
34	Wema Asset Management Limited	December	31-Mar-16



**DEALING MEMBERS AND THEIR RENDITION STATUS FOR THE YEAR ENDED 31 DECEMBER 2016**

**ACTIVE AND SUBMITTED**

S/N	FIRM	YEAR END	DUE DATE
1	African Alliance Stockbrokers Limited	December	31-Mar-17
2	Afrinvest Securities Limited	December	31-Mar-17
3	Amyr Investment Limited	December	31-Mar-17
4	Anchoria Investment & Securities Limited	December	31-Mar-17
5	Apel Asset Limited	December	31-Mar-17
6	Apt Securities & Funds Limited	December	31-Mar-17
7	ARM Securities Limited	December	31-Mar-17
8	Arthur Stevens Asset Management Limited	December	31-Mar-17
9	Associated Asset Managers Limited	December	31-Mar-17
10	Atlass Portfolio Limited	December	31-Mar-17
11	Belfry Investment & Securities Limited	December	31-Mar-17
12	Bestworth Assets & Trust Limited	December	31-Mar-17
13	Calyx Securities Limited	December	31-Mar-17
14	Camry Securities Limited	December	31-Mar-17
15	Capital Asset Limited	December	31-Mar-17
16	Capital Bancorp Plc	December	31-Mar-17
17	Capital Express Securities Limited	December	31-Mar-17
18	Capital Trust Brokers Limited	December	31-Mar-17
19	CardinalStone Securities Limited	December	31-Mar-17
20	Cashcraft Securities Limited	December	31-Mar-17
21	Cashville Investment & Securities Limited	December	31-Mar-17
22	CDL Capital Markets Limited	December	31-Mar-17
23	Centre Point Investment Limited	December	31-Mar-17
24	Century Securities Limited	December	31-Mar-17
25	Chapel Hill Denham Securities Limited	December	31-Mar-17
26	Chartwell Securities Limited	December	31-Mar-17
27	Citi Investment Capital Limited	December	31-Mar-17
28	City Code Trust & Investment Company Limited	December	31-Mar-17
29	CLG Stockbrokers Limited	December	31-Mar-17
30	Compass Investment & Securities Limited	December	31-Mar-17
31	Cordros Securities Limited	December	31-Mar-17
32	Core Trust & Investment Limited	December	31-Mar-17
33	Coronation Securities Limited	December	31-Mar-17
34	Cowry Securities Limited	December	31-Mar-17
35	Crane Securities Limited	December	31-Mar-17
36	Crossworld Securities Limited	December	31-Mar-17
37	Crown Capital Limited	December	31-Mar-17
38	CSL Stockbrokers Limited	December	31-Mar-17



39	Deep Trust Investment Limited	December	31-Mar-17
40	Delords Securities Limited	December	31-Mar-17
41	Diamond Securities Limited	December	31-Mar-17
42	Dominion Trust Limited	December	31-Mar-17
43	DSU Brokerage Services Limited	December	31-Mar-17
44	Dunbell Securities Limited	December	31-Mar-17
45	Dunn Loren Merrifield Securities Limited	December	31-Mar-17
46	Dynamic Portfolio Limited	December	31-Mar-17
47	EDC Securities Limited	December	31-Mar-17
48	Edgefield Capital Management Limited	December	31-Mar-17
49	EFCP Limited	December	31-Mar-17
50	El-Elyon Alliance and Securities Ltd	December	31-Mar-17
51	Elixir Securities Limited	December	31-Mar-17
52	Enterprise Stockbrokers Limited	December	31-Mar-17
53	Equity Capital Solutions Limited	December	31-Mar-17
54	Eurocomm Securities Limited	December	31-Mar-17
55	Express Portfolio Services Limited	December	31-Mar-17
56	Falcon Securities Limited	December	31-Mar-17
57	FBC Trust & Securities Limited	December	31-Mar-17
58	FBN Securities Limited	December	31-Mar-17
59	FCSL Asset Management Company Limited	December	31-Mar-17
60	Fidelity Finance Company Limited	December	31-Mar-17
61	Financial & Analytics Capital Limited	December	31-Mar-17
62	Finmal Finance Services Limited	December	31-Mar-17
63	First Integrated Capital Management Limited	December	31-Mar-17
64	First Stockbrokers Limited	December	31-Mar-17
65	FIS Securities Limited	December	31-Mar-17
66	Foresight Securities & Investment Limited	December	31-Mar-17
67	Forthright Securities & Investments Limited	December	31-Mar-17
68	Fortress Capital Limited	December	31-Mar-17
69	FSDH Securities Limited	December	31-Mar-17
70	FSL Securities Limited	December	31-Mar-17
71	Funds Matrix & Assets Mgt Limited	December	31-Mar-17
72	Futureview Securities Limited	December	31-Mar-17
73	Global Asset Management (Nig) Limited	December	31-Mar-17
74	GlobalView Capital Limited	December	31-Mar-17
75	Greenwich Securities Limited	December	31-Mar-17
76	Growth & Development Asset Management Limited	December	31-Mar-17
77	Gruene Capital Limited	December	31-Mar-17
78	GTI Securities Limited	December	31-Mar-17
79	Harmony Securities Limited	December	31-Mar-17
80	Heartbeat Investment Limited	December	31-Mar-17
81	Helix Securities Limited	December	31-Mar-17
82	Heritage Capital Markets Limited	December	31-Mar-17
83	ICMG securities Limited	December	31-Mar-17
84	Icon Stockbrokers Limited	December	31-Mar-17
85	Imperial Asset Managers Limited	December	31-Mar-17

86	Integrated Trust & Investment Limited	December	31-Mar-17
87	Interstate Securities Limited	December	31-Mar-17
88	Investment One Stockbrokers International Limited	December	31-Mar-17
89	Investors & Trust Company Limited	December	31-Mar-17
90	Kapital Care Trust & Securities Limited	December	31-Mar-17
91	Kedari Capital Limited	December	31-Mar-17
92	Kinley Securities Limited	December	31-Mar-17
93	Kofana Securities & Investment Limited	December	31-Mar-17
94	Kundila Finance Services Limited	December	31-Mar-17
95	Lambeth Trust & Investment Company Limited	December	31-Mar-17
96	Lead Securities & Investment Limited	December	31-Mar-17
97	Lighthouse Asset Management Limited	December	31-Mar-17
98	Longterm Global Capital Limited	December	31-Mar-17
99	Magnartis Finance & Investment Limited	December	31-Mar-17
100	Maxifund Investment & Securities Plc	December	31-Mar-17
101	Mayfield Investment Limited	December	31-Mar-17
102	MBC Securities Limited	December	31-Mar-17
103	Mega Equities Limited	December	31-Mar-17
104	Meristem Stockbrokers Limited	December	31-Mar-17
105	Milestone Capital Management Limited	December	31-Mar-17
106	Mission Securities Limited	December	31-Mar-17
107	Molten Trust Limited	December	31-Mar-17
108	Morgan Capital Securities Limited	December	31-Mar-17
109	Mountain Investment & Securities Limited	December	31-Mar-17
110	Network Capital Limited	December	31-Mar-17
111	Networth Securities & Finance Limited	December	31-Mar-17
112	Newdevco Investments & Securities Limited	December	31-Mar-17
113	Nigerian International Securities Ltd	December	31-Mar-17
114	Nigerian Stockbrokers Limited	December	31-Mar-17
115	Nova Finance & Securities Limited	December	31-Mar-17
116	PAC Securities Limited	December	31-Mar-17
117	Peace Capital Markets Ltd	December	31-Mar-17
118	Pilot Securities Limited	December	31-Mar-17
119	Pinefields Investment Services Limited	December	31-Mar-17
120	PIPC Securities Limited	December	31-Mar-17
121	Pivot Capital Limited	December	31-Mar-17
122	Planet Capital Limited	December	31-Mar-17
123	Portfolio Advisers Limited	December	31-Mar-17
124	Primera Africa Securities Limited	December	31-Mar-17
125	Primewealth Capital Limited	December	31-Mar-17
126	Prominent Securities Limited	December	31-Mar-17
127	PSI Securities Limited	December	31-Mar-17
128	Pyramid Securities Limited	December	31-Mar-17
129	Qualinvest Capital Limited	December	31-Mar-17
130	Quantum Securities Limited	December	31-Mar-17
131	Rainbow Securities & Investment Company Limited	December	31-Mar-17
132	Readings Investment Limited	December	31-Mar-17

133	Regency Asset Management Limited	December	31-Mar-17
134	Rencap Securities (Nig) Limited	December	31-Mar-17
135	Reward Investment & Service Limited	December	31-Mar-17
136	RMB Nigeria Stockbrokers Limited	December	31-Mar-17
137	Rostrum Investment & Sec Limited	December	31-Mar-17
138	Rowet Capital Mgt Limited	December	31-Mar-17
139	Royal Guaranty & Trust Limited	December	31-Mar-17
140	Royal Trust Securities Limited	December	31-Mar-17
141	Santrust Securities Limited	December	31-Mar-17
142	Securities Africa Financial Limited	December	31-Mar-17
143	Securities and Capital Management Company Limited	December	31-Mar-17
144	Security Swaps Limited	December	31-Mar-17
145	SFC Securities Limited	December	31-Mar-17
146	Shelong Investments Limited	December	31-Mar-17
147	Sigma Securities Limited	December	31-Mar-17
148	Signet Investment & Securities Limited	December	31-Mar-17
149	Skyview Capital Ltd	December	31-Mar-17
150	Smadac Securities Limited	December	31-Mar-17
151	Solid Rock Securities & Investment Plc	December	31-Mar-17
152	Spring Trust & Securities Limited	December	31-Mar-17
153	Springboard Trust & Investment Limited	December	31-Mar-17
154	Stanbic IBTC Stockbrokers Limited	December	31-Mar-17
155	Standard Alliance Capital Asset Mgt Limited	December	31-Mar-17
156	Standard Union Securities Limited	December	31-Mar-17
157	TFS Securities & Investment Company Limited	December	31-Mar-17
158	The Bridge Securities Limited	December	31-Mar-17
159	Tiddo Securities Limited	December	31-Mar-17
160	Tomil Trust Limited	December	31-Mar-17
161	Topmost Securities Limited	December	31-Mar-17
162	Tower Securities & Investment Company Limited	December	31-Mar-17
163	Trade Link Securities Limited	December	31-Mar-17
164	Traders Trust & Investment Co. Limited	December	31-Mar-17
165	Trust Yield Securities Limited	December	31-Mar-17
166	TrustBanc Capital Management Limited	December	31-Mar-17
167	Trusthouse Investment Limited	December	31-Mar-17
168	TRW Stockbrokers Limited	December	31-Mar-17
169	Tyndale Securities Limited	December	31-Mar-17
170	Unex Capital Limited	December	31-Mar-17
171	Union Capital Markets Limited	December	31-Mar-17
172	United Capital Securities Limited	December	31-Mar-17
173	Valmon Securities Limited	December	31-Mar-17
174	Valueline Securities & Investment Limited	December	31-Mar-17
175	Vetiva Securities Limited	December	31-Mar-17
176	Woodland Capital Markets Plc	December	31-Mar-17
177	WSTC Financial Services Limited	December	31-Mar-17
178	Zenith Securities Limited	December	31-Mar-17
179	Zion Stockbrokers & Securities Limited	December	31-Mar-17


<b>INACTIVE AND SUBMITTED</b>			
<b>S/N</b>	<b>FIRMS</b>	<b>Year End</b>	<b>Due date</b>
1	Gem Assets Management Limited	December	31-Mar-17
2	Horizon Stockbrokers Limited	December	31-Mar-17
3	Marimpex Finance & Investment Company Limited	December	31-Mar-17
4	MBL Financial Services Limited	December	31-Mar-17
5	Supra Commercial Trust Company Limited	December	31-Mar-17

<b>NEWLY LICENSED FIRMS NOT REQUIRED TO SUBMIT RETURNS</b>			
<b>S/N</b>	<b>FIRMS</b>	<b>Year End</b>	<b>Due date</b>
1	Bauchi Investment Corp. Sec Limited	December	31-Mar-17
2	Fundvine Capital & Securities Limited	December	31-Mar-17

<b>ACTIVE AND NOT SUBMITTED</b>			
<b>S/N</b>	<b>FIRMS</b>	<b>Year End</b>	<b>Due date</b>
1	Adonai Stockbrokers Limited	December	31-Mar-17
2	Financial Trust Company Limited	December	31-Mar-17
3	Forte Financial Limited	December	31-Mar-17
4	Gidauniya Investment & Securities Limited	December	31-Mar-17
5	Golden Securities Limited	December	31-Mar-17
6	Hedge Securities & Investment Limited	December	31-Mar-17
7	Mainstreet Bank Securities Limited	December	31-Mar-17
8	Marriot Securities & Investment Co. Limited	December	31-Mar-17
9	Midas Stockbrokers Limited	December	31-Mar-17
10	Royal Crest Finance Limited	December	31-Mar-17
11	Transworld Investment Limited	December	31-Mar-17

**INACTIVE AND NOT SUBMITTED**

<b>S/N</b>	<b>FIRM</b>	<b>Year End</b>	<b>Due Date</b>
1	Aims Asset Management Limited	December	31-Mar-17
2	Adamawa Securities Limited	December	31-Mar-17
3	Bytofel Trust & Securities Limited	December	31-Mar-17
4	Cadington Securities Limited	December	31-Mar-17
5	CEB Securities Limited	December	31-Mar-17
6	Clearview Investments Company Limited	December	31-Mar-17
7	ECL Asset Management Limited	December	31-Mar-17
8	Finbank Securities & Assets Management Limited	December	31-Mar-17
9	GMT Securities & Asset Mangement Limited	December	31-Mar-17
10	Gombe Securities Limited	December	31-Mar-17
11	International Standard Securities Limited	December	31-Mar-17
12	Investment Shark & Asset Mgt Ltd	December	31-Mar-17
13	ITIS Securities Limited	December	31-Mar-17
14	Kakawa Asset Management Limited	December	31-Mar-17
15	Lion Stockbrokers Limited	December	31-Mar-17
16	LMB Stockbrokers Limited	December	31-Mar-17
17	Mact Securities Limited	December	31-Mar-17
18	Maven Asset Management Limited	December	31-Mar-17
19	Mercov Securities Limited	December	31-Mar-17
20	Midpoint Capital	December	31-Mar-17
21	ML Securities Limited	December	31-Mar-17
22	Monument Sec & Finance Limited	December	31-Mar-17
23	Mutual Alliance Investment & Securities Limited	December	31-Mar-17
24	Partnership Securities Limited	December	31-Mar-17
25	Profund Securities Limited	December	31-Mar-17
26	Resano Securities Limited	December	31-Mar-17
27	Resort Securities & Trust Limited	December	31-Mar-17
28	Stanwal Securities Limited	December	31-Mar-17
29	Tower Asset Management Limited	December	31-Mar-17
30	Transafrika Financial Services Limited	December	31-Mar-17
31	UIDC Securities Limited	December	31-Mar-17
32	Vision Trust & Investment Limited	December	31-Mar-17
33	Wema Asset Management Limited	December	31-Mar-17