



**DEALING MEMBER FIRMS WITH INADEQUATE SHAREHOLDERS FUND AS AT 15 MAY 2017**

<b>SN</b>	<b>FIRM</b>	<b>STATUS</b>
1	Atlass Portfolio Limited	Active
2	Financial & Analytics Capital Limited	Active
3	Kofana Securities and Investment Limited	Active
4	Mission Securities Limited	Active
5	Maxifund Investment and Securities Plc	Active
6	Nova Finance & Securities Limited	Active
7	PIPC Securities Limited	Active
8	Primera Africa Securities Limited	Active
9	Adamawa Securities Limited	Inactive
10	Aims Asset Management Limited	Inactive
11	Bytofel Securities and Investment Limited	Inactive
12	Cadington Securities Limited	Inactive
13	CEB Securities Limited	Inactive
14	Finbank Securities & Assets Management Limited	Inactive
15	First Alstate Securities Limited	Inactive
16	GEM Asset Management Limited	Inactive
17	GMT Securities & Asset Management Limited	Inactive
18	Gombe Securities Limited	Inactive
19	Horizon Stockbrokers Limited	Inactive
20	ITIS Securities Limited	Inactive
21	Investment Shark & Asset Management Limited	Inactive
22	Kakawa Asset Management Limited	Inactive
23	Lion Stockbrokers Limited	Inactive
24	LMB Stockbrokers Limited	Inactive
25	Mact Securities Limited	Inactive
26	Mercov Securities Limited	Inactive
27	Midpoint Capital Limited	Inactive
28	ML Securities Limited	Inactive
29	Monument Sec & Finance Limited	Inactive
30	Profund Securities Limited	Inactive
31	Resano Securities Limited	Inactive
32	Resort Securities & Trust Limited	Inactive
33	Stanwal Securities Limited	Inactive
34	Summa Guaranty & Trust Company Limited	Inactive
35	Supra Commercial Trust Company Limited	Inactive
36	UIDC Securities Limited	Inactive
37	Vision Trust & Investment Limited	Inactive
38	Wema Asset Management Limited	Inactive

\*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.

39	Allbond Investment Limited	Deregistered by SEC
40	Consolidated Investment Limited	Deregistered by SEC
41	Dakal Services Limited	Deregistered by SEC
42	Decanon Investment Limited	Deregistered by SEC
43	Emi Capital Resources Limited	Deregistered by SEC
44	Maninvest Asset Management Plc	Deregistered by SEC
45	Metropolitan Trust Nigeria Limited	Deregistered by SEC
46	Omas Investment & Trust Company Limited	Deregistered by SEC
47	Peninsula Asset Management & Investment Company Limited	Deregistered by SEC
48	Platinum Capital Limited	Deregistered by SEC
49	Prudential Securities Limited	Deregistered by SEC
50	Securities Solutions Limited	Deregistered by SEC
51	Securities Trading & Investments Limited	Deregistered by SEC
52	WizeTrade Capital & Asset Management Limited	Deregistered by SEC
53	WT Securities Limited	Deregistered by SEC
54	Zuma Securities Limited	Deregistered by SEC

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