



**DEALING MEMBER FIRMS WITH INADEQUATE SHAREHOLDERS FUND AS AT 14 AUGUST 2017**

<b>SN</b>	<b>FIRM</b>	<b>STATUS</b>
1	Atlass Portfolio Limited	Active
2	Financial & Analytics Capital Limited	Active
3	Mission Securities Limited	Active
4	Nova Finance & Securities Limited	Active
5	Primera Africa Securities Limited	Active
6	Adamawa Securities Limited	Inactive
7	Aims Asset Management Limited	Inactive
8	Bytofel Securities and Investment Limited	Inactive
9	Cadington Securities Limited	Inactive
10	CEB Securities Limited	Inactive
11	Finbank Securities & Assets Management Limited	Inactive
12	First Alstate Securities Limited	Inactive
13	GEM Asset Management Limited	Inactive
14	GMT Securities & Asset Management Limited	Inactive
15	Gombe Securities Limited	Inactive
16	Horizon Stockbrokers Limited	Inactive
17	ITIS Securities Limited	Inactive
18	Investment Shark & Asset Management Limited	Inactive
19	Kakawa Asset Management Limited	Inactive
20	Kofana Securities and Investment Limited	Inactive
21	Lion Stockbrokers Limited	Inactive
22	LMB Stockbrokers Limited	Inactive
23	Mact Securities Limited	Inactive
24	Maxifund Investment and Securities Plc	Inactive
25	Mercov Securities Limited	Inactive
26	ML Securities Limited	Inactive
27	Monument Sec & Finance Limited	Inactive
28	Profund Securities Limited	Inactive
29	Resano Securities Limited	Inactive
30	Stanwal Securities Limited	Inactive
31	Summa Guaranty & Trust Company Limited	Inactive
32	Supra Commercial Trust Company Limited	Inactive
33	UIDC Securities Limited	Inactive
34	Vision Trust & Investment Limited	Inactive
35	Wema Asset Management Limited	Inactive
36	Decanon Investment Limited	Deregistered by SEC
37	Platinum Capital Limited	Deregistered by SEC
38	Securities Solutions Limited	Deregistered by SEC

\*An inactive Firm is a firm that has been suspended for a period of more than 3 months by The Exchange or SEC; or has not recorded any activity for a period of 3 or more months without being suspended.