

STATUS OF DEALING MEMBER FIRMS AS AT 15 MAY 2017

S/N	FIRM	STATUS
<b>A</b>	<b>ACTIVE DEALING MEMBER FIRMS</b>	
1	Adonai Stockbrokers Limited	Active
2	African Alliance Stockbrokers Limited	Active
3	Afrinvest Securities Limited	Active
4	Alangrange Securities Limited	Active
5	Amya Investment Limited	Active
6	Anchoria Investment and Securities Limited	Active
7	Apel Asset Limited	Active
8	Apt Securities and Funds Limited	Active
9	ARM Securities Limited	Active
10	Arthur Stevens Asset Management Limited	Active
11	Associated Asset Managers Limited	Active
12	Atlass Portfolio Limited	Active
13	Bauchi Investment Corp. Sec Limited	Active
14	Belfry Investment and Securities Limited	Active
15	Bestworth Assets and Trust Limited	Active
16	Calyx Securities Limited	Active
17	Camry Securities Limited	Active
18	Capital Asset Limited	Active
19	Capital Bancorp Plc	Active
20	Capital Express Securities Limited	Active
21	Capital Trust Brokers Limited	Active
22	Cardinal Stone Securities Ltd	Active
23	Cashcraft Securities Limited	Active
24	Cashville Investment and Securities Limited	Active
25	CDL Capital Markets Limited	Active
26	Centre Point Investment Limited	Active
27	Century Securities Limited	Active
28	Chapel Hill Denham Securities Limited	Active
29	Chartwell Securities Limited	Active
30	Citi Investment Capital Limited	Active
31	City Code Trust and Investment Company Limited	Active
32	CLG Stockbrokers Limited	Active
33	Compass Investment and Securities Limited	Active
34	Cordros Securities Limited	Active
35	Core Trust and Investment Limited	Active
36	Coronation Securities Limited	Active
37	Cowry Securities Limited	Active
38	Crane Securities Limited	Active
39	Crossworld Securities Limited	Active
40	Crown Capital Limited	Active
41	CSL Stockbrokers Limited	Active
42	Deep Trust Investment Limited	Active
43	Delords Securities Limited	Active
44	Dependable Securities Limited	Active
45	Diamond Securities Limited	Active
46	Dominion Trust Limited	Active
47	DSU Brokerage Services Limited	Active
48	Dunbell Securities Limited	Active
49	Dunn Loren Merrifield Securities Limited	Active
50	Dynamic Portfolio Limited	Active

51	FDC Securities Limited	Active
52	Edgefield Capital Management Limited	Active
53	EFCP Limited	Active
54	El-Elyon Alliance and Securities Ltd	Active
55	Elixir Securities Limited	Active
56	Enterprise Stockbrokers Limited	Active
57	Equity Capital Solutions Limited	Active
58	Eurocomm Securities Limited	Active
59	Express Portfolio Services Limited	Active
60	Falcon Securities Limited	Active
61	FBC Trust and Securities Limited	Active
62	FBN Securities Limited	Active
63	FCSL Asset Management Company Limited	Active
64	Fidelity Finance Company Limited	Active
65	Financial and Analytics Capital Limited	Active
66	Financial Trust Company Limited	Active
67	Finmal Finance Services Limited	Active
68	First Integrated Capital Manangement Limited	Active
69	First Stockbrokers Limited	Active
70	FIS Securities Limited	Active
71	Foresight Securities and Investment Limited	Active
72	Forte Financial Limited	Active
73	Forthright Securities and Investments Limited	Active
74	Fortress Capital Limited	Active
75	FSDH Securities Limited	Active
76	FSL Securities Limited	Active
77	Funds Matrix and Assets Mgt Limited	Active
78	Fundvine Capital and Securities Limited	Active
79	Futureview Securities Limited	Active
80	Gidauniya Investment and Securities Limited	Active
81	Global Asset Management (Nig) Limited	Active
82	GlobalView Capital Limited	Active
83	Golden Securities Limited	Active
84	Greenwich Securities Limited	Active
85	Growth & Development Asset Management Limited	Active
86	Gruene Capital Limited	Active
87	GTI Securities Limited	Active
88	Harmony Securities Limited	Active
89	Heartbeat Investment Limited	Active
90	Hedge Securities and Investment Limited	Active
91	Helix Securities Limited	Active
92	Heritage Capital Markets Limited	Active
93	ICMG securities Limited	Active
94	Icon Stockbrokers Limited	Active
95	Imperial Assets Managers Limited	Active
96	Integrated Trust and Investment Limited	Active
97	Interstate Securities Limited	Active
98	Investment Centre Limited	Active
99	Investment One Stockbrokers International Limited	Active
100	Investors and Trust Company Limited	Active
101	Kapital Care Trust and Securities Limited	Active
102	Kedari Capital Limited	Active

103	Kinley Securities Limited	Active
104	Kofana Securities and Investment Limited	Active
105	Kundila Finance Services Limited	Active
106	Lambeth Trust and Investment Company Limited	Active
107	Lead Securities and Investment Limited	Active
108	Lighthouse Asset Management Limited	Active
109	Longterm Global Capital Limited	Active
110	Magnartis Finance and Investment Limited	Active
111	Mainstreet Bank Securities Limited	Active
112	Marriot Securities and Investment Co. Limited	Active
113	Maxifund Investment and Securities Plc	Active
114	Mayfield Investment Limited	Active
115	MBC Securities Limited	Active
116	Mega Equities Limited	Active
117	Meristem Stockbrokers Limited	Active
118	Midas Stockbrokers Limited	Active
119	Milestone Capital Management Limited	Active
120	Mission Securities Limited	Active
121	Molten Trust Limited	Active
122	Morgan Capital Securities Limited	Active
123	Mountain Investment and Securities Limited	Active
124	Network Capital Limited	Active
125	Networth Securities and Finance Limited	Active
126	Newdevco Investments and Securities Limited	Active
127	Nigerian International Securities Ltd	Active
128	Nigerian Stockbrokers Limited	Active
129	Nova Finance and Securities Limited	Active
130	PAC Securities Limited	Active
131	Peace Capital Markets Ltd	Active
132	Phronesis Securities Limited	Active
133	Pilot Securities Limited	Active
134	Pinefields Investment Services Limited	Active
135	PIPC Securities Limited	Active
136	Pivot Capital Limited	Active
137	Planet Capital Limited	Active
138	Portfolio Advisers Limited	Active
139	Primera Africa Securities Limited	Active
140	Primewealth Capital Limited	Active
141	Prominent Securities Limited	Active
142	PSI Securities Limited	Active
143	Pyramid Securities Limited	Active
144	Qualinvest Capital Limited	Active
145	Quantum Securities Limited	Active
146	Rainbow Securities and Investment Company Limited	Active
147	Readings Investment Limited	Active
148	Regency Asset Management Limited	Active

149	Rencap Securities (Nig) Limited	Active
150	Reward Investment and Service Limited	Active
151	RMB Nigeria Stockbrokers Limited	Active
152	Rostrum Investment and Sec Limited	Active
153	Rowet Capital Mgt Limited	Active
154	Royal Crest Finance Limited	Active
155	Royal Guaranty and Trust Limited	Active
156	Royal Trust Securities Limited	Active
157	Santrust Securities Limited	Active
158	Securities Africa Financial Limited	Active
159	Securities and Capital Management Company Limited	Active
160	Security Swaps Limited	Active
161	SFC Securities Limited	Active
162	Shelong Investments Limited	Active
163	Sigma Securities Limited	Active
164	Signet Investment and Securities Limited	Active
165	Skyview Capital Ltd	Active
166	Smadac Securities Limited	Active
167	Solid Rock Securities and Investment Plc	Active
168	Spring Trust and Securities Limited	Active
169	Springboard Trust and Investment Limited	Active
170	Stanbic IBTC Stockbrokers Limited	Active
171	Standard Alliance Capital and Asset Management Limited	Active
172	Standard Union Securities Limited	Active
173	TFS Securities and Investment Company Limited	Active
174	The Bridge Securities Limited	Active
175	Tiddo Securities Limited	Active
176	Tomil Trust Limited	Active
177	Topmost Securities Limited	Active
178	Tower Securities and Investment Company Limited	Active
179	Trade Link Securities Limited	Active
180	Traders Trust and Investment Co. Limited	Active
181	Transworld Investment Limited	Active
182	Trust Yield Securities Limited	Active
183	TrustBanc Capital Management Limited	Active
184	Trusthouse Investment Limited	Active
185	TRW Stockbrokers Limited	Active
186	Tyndale Securities Limited	Active
187	Unex Capital Limited	Active
188	Union Capital Markets Limited	Active
189	United Capital Securities Limited	Active
190	Valmon Securities Limited	Active
191	Valueline Securities and Investment Limited	Active
192	Vetiva Securities Limited	Active
193	Woodland Capital Markets Plc	Active
194	WSTC Financial Services Limited	Active
195	Zenith Securities Limited	Active
196	Zion Stockbrokers and Securities Limited	Active

B INACTIVE DEALING MEMBER FIRMS		
S/N	FIRM	STATUS
1	Adamawa Securities Limited	Inactive
2	Aims Asset Management Limited	Inactive
3	Arian Capital Management Limited	Inactive
4	Bestlink Investment Limited	Inactive
5	Bytofel Securities and Investment Limited	Inactive
6	Cadington Securities Limited	Inactive
7	CEB Securities Limited	Inactive
8	Clearview Investments Company Limited	Inactive
9	Covenant Securities and Asset Management Limited	Inactive
10	Cradle Trust Finance and Securities Limited	Inactive
11	ECL Asset Management Limited	Inactive
12	Excel Securities Limited	Inactive
13	Finbank Securities and Assets Management Limited	Inactive
14	Gem Assets Management Limited	Inactive
15	GMT Securities and Asset Mangement Limited	Inactive
16	Gombe Securities Limited	Inactive
17	Horizon Stockbrokers Limited	Inactive
18	International Standard Securities Limited	Inactive
19	Investment Shark and Asset Mgt Ltd	Inactive
20	ITIS Securities Limited	Inactive
21	Kakawa Asset Management Limited	Inactive
22	LB Securities Limited	Inactive
23	Lion Stockbrokers Limited	Inactive
24	LMB Stockbrokers Limited	Inactive
25	Mact Securities Limited	Inactive
26	Mainland Trust Limited	Inactive
27	Marimpex Finance and Investment Company Limited	Inactive
28	Maven Asset Management Limited	Inactive
29	MBL Financial Services Limited	Inactive
30	Mercov Securities Limited	Inactive
31	Midpoint Capital Limited	Inactive
32	ML Securities Limited	Inactive
33	Monument Sec and Finance Limited	Inactive
34	Mutual Alliance Investment and Securities Limited	Inactive
35	Northbridge Investment and Trust Limited	Inactive
36	Options Securities Limited	Inactive
37	Partnership Securities Limited	Inactive
38	Perfecta Investment and Trust Limited	Inactive
39	PML Securities Company Limited	Inactive
40	Professional Stockbrokers Limited	Inactive
41	Profund Securities Limited	Inactive
42	Redasel Investments Limited	Inactive
43	Resano Securities Limited	Inactive
44	Resort Securities and Trust Limited	Inactive
45	Shalom Investment and Financial Services Limited	Inactive
46	Stanwal Securities Limited	Inactive
47	Summa Guaranty and Trust Company Limited	Inactive
48	Supra Commercial Trust Company Limited	Inactive
49	Surport Services Limited	Inactive
50	Tower Asset Management Limited	Inactive
51	Transafrika Financial Services Limited	Inactive
52	UIDC Securities Limited	Inactive
53	Vision Trust and Investment Limited	Inactive
54	Waila Securities and Funds Limited	Inactive
55	Wema Asset Management Limited	Inactive
56	Yobe Investment and Securities Limited	Inactive
57	Yuderb Investment and Securities Limited	Inactive

C DEALING MEMBER FIRMS DEREGISTERED BY THE SECURITIES and EXCHANGE COMMISSION (SEC)		
S/N	FIRM	STATUS
1	Alliance Capital Management Company Limited	Deregistered by SEC as at 24th December 2012
2	BGL Securities Limited	Deregistered by SEC as at 26th May 2016
3	Decanon Investment Limited	Deregistered by SEC as at 18th December 2015
4	Midland Capital Markets Limited	Deregistered by SEC as at 24th December 2012
5	Platinum Capital Limited	Deregistered by SEC as at 18th December 2015
6	Securities Solutions Limited	Deregistered by SEC as at 18th December 2015

D REVOKED LICENSES		
S/N	FIRM	STATUS
1	A.T.I.F Securities Limited/Atif Limited	Revoked effective 17th September 1996
2	Abacus Securities Limited	Revoked effective 5th December 2001
3	ABC Securities Limited	Revoked effective 17th September 1996
4	Akitorch Securities Limited	Revoked effective 22nd November 2005
5	All Wealth Securities Limited	Revoked effective 17th September 1996
6	Apex Securities Limited	Revoked effective 27th October 2005
7	Asset Plus Securities Limited	Revoked effective 22nd November 2005
8	Associated Securities Limited	Revoked effective 17th September 1996
9	Avon Finance and Securities Limited/Avon Finance and Investment Limited	Revoked effective 5th December 2001
10	Beachgroove Securities and Investments Limited.	Revoked effective 22nd November 2005
11	Broadedge Securities Limited	Revoked effective 5th December 2001
12	Bullion Securities Limited (Bullion Stockbrokers Limited)	Revoked effective 5th December 2001
13	Cardinal Securities Limited	Revoked effective 5th December 2001
14	City Investment Management Limited	Revoked effective 5th December 2001
15	Commet Finance and Securities Limited	Revoked effective 5th December 2001
16	Corporate Trust Limited	Revoked effective 17th September 1996
17	Crown Merchant Securities Limited	Revoked effective 5th December 2001
18	Dalgo Investment and Trust Limited	Revoked effective 17th September 1996
19	Devcom Securities Limited	Revoked effective 5th December 2001
20	Devserv Finance and Securities Limited	Revoked effective 17th September 1996
21	EBN Securities Limited	Revoked effective 5th December 2001
22	Equity Securities Limited	Revoked effective 5th December 2001
23	Farida Investment and Finance Limited	Revoked effective 17th September 1996
24	Gilts and Hedge Finance Limited	Revoked effective 17th September 1996
25	Global Investment and Sec Limited	Revoked effective 5th December 2001
26	Goldworth Securities Limited	Revoked effective 5th December 2001
27	Haggai Investment and Trust Limited	Revoked effective 5th December 2001
28	Halsec Finance Limited	Revoked effective 22nd November 2005
29	HP Securities Limited	Revoked effective 5th December 2001
30	Investicon Nigeria Limited	Revoked effective 5th December 2001
31	Investment Resources Limited	Revoked effective 17th September 1996
32	Island Securities Limited	Revoked effective 5th December 2001
33	Jenkins Investments Limited	Revoked effective 25th May 2007
34	Kapital Securities Limited	Revoked effective 17th September 1996
35	Lozinger Securities Limited	Revoked effective 17th September 1996
36	M&M Securities Limited	Revoked effective 5th December 2001
37	M. J Securities and Investment Limited	Revoked effective 5th December 2001
38	Majestic Securities Limited	Revoked effective 5th December 2001
39	Matrix Capital Management Limited	Revoked effective 5th December 2001
40	MBA Securities Limited	Revoked effective 5th December 2001
41	MBCOM Securities Limited	Revoked effective 17th September 1996
42	Merchant Securities Limited	Revoked effective 5th December 2001
43	Metropolitan Trust Nigeria Limited	Revoked effective 5th December 2001
44	MMB Securities and Trust Limited	Revoked effective 17th September 1996
45	MMG Securities Limited	Revoked effective 17th September 1996
46	Nationwide Securities Limited	Revoked effective 17th September 1996
47	New Horizon Finance and Investment Limited	Revoked effective 5th December 2001
48	Nigbel Securities Limited	Revoked effective 5th December 2001
49	Omega Securities Limited	Revoked effective 5th December 2001
50	Omnisource International Limited	Revoked effective 5th December 2001
51	OpenGate Finance Company Limited	Revoked effective 17th September 1996
52	Pacific Securities Limited	Revoked effective 5th December 2001
53	Pamal Finance Limited	Revoked effective 17th September 1996
54	Peak Securities Limited	Revoked effective 5th December 2001
55	Prime Securities Limited	Revoked effective 5th December 2001
56	Prudent Stockbrokers Limited	Revoked effective 5th December 2001
57	Royal Securities Limited	Revoked effective 5th December 2001
58	Source Finance Limited/ Source Finance and Trust Company Limited	Revoked effective 5th December 2001
59	Supreme Finance and Investment Co. Limited	Revoked effective 5th December 2001
60	Synergy and Assets Trust Limited	Revoked effective 5th December 2001
61	Thomas Kinsley Securities Limited	Revoked effective 17th July 2007
62	Tradestamp Securities Limited	Revoked effective 17th September 1996
63	Trust Securities Limited	Revoked effective 5th December 2001
64	Unit Trust Securities Limited	Revoked effective 5th December 2001
65	Universal Securities Limited	Revoked effective 17th September 1996
66	Viva Securities Limited	Revoked effective 22nd November 2005
67	Wintrust Limited	Revoked effective 5th December 2001

E EXPELLED DEALING MEMBER FIRMS		
S/N	FIRM	STATUS
1	AAA Stockbrokers Limited	Expelled effective 11th December 2015
2	Afro-Arab Investment Limited	Expelled effective 11th December 2015
3	Al-Pina Investment and Trust Co Limited	Expelled effective 11th December 2015
4	Allbond Investment Limited Limited	Expelled effective 26th January 2017
5	Barakat Investment Limited	Expelled effective 11th December 2015
6	BBL Asset Management Limited	Expelled effective 11th December 2015
7	BFCL Asset and Securities Limited	Expelled effective 11th December 2015
8	BIC Securities Limited	Expelled effective 11th December 2015
9	Bosson Capital Assets Limited	Expelled effective 26th January 2017
10	Colvia Securities Limited	Expelled effective 11th December 2015
11	Consolidated Investment Limited	Expelled effective 26th January 2017
12	Dakal Services Limited	Expelled effective 26th January 2017
13	Davandy Finance Limited	Expelled effective 11th December 2015
14	Emi Capital Resources Limited	Expelled effective 26th January 2017
15	Empire Securities Limited	Expelled effective 11th December 2015
16	Enabell Capital and Investment Limited	Expelled effective 11th December 2015
17	Epic Investment Trust Limited	Expelled effective 11th December 2015
18	Equator Stockbrokers Limited	Expelled effective 11th December 2015
19	First Alstate Securities Limited	Expelled effective 26th January 2017
20	First Atlantic Securities Limited	Expelled effective 11th December 2015
21	First Equity Securities Limited	Expelled effective 26th January 2017
22	First Express Limited	Expelled effective 11th December 2015
23	Fittco Securities Limited	Expelled effective 30th September 2015
24	Folu Securities Limited	Expelled effective 11th December 2015
25	Genesis Securities and Investment Limited	Expelled effective 11th December 2015
26	Gosord Securities Limited	Expelled effective 1st August 2014
27	Ideal Securities Limited	Expelled effective 26th January 2017
28	Indemnity Finance Limited	Expelled effective 11th December 2015
29	Integrated and Allied Securities Limited	Expelled effective 11th December 2015
30	Intercontinental Securities Limited	Expelled effective 11th December 2013
31	KFF Worldwide Solutions Limited	Expelled effective 26th January 2017
32	Kingdom Securities Limited	Expelled effective 11th December 2015
33	Lakesworth Securities Limited	Expelled effective 1st August 2014
34	Maninvest Asset Management Plc	Expelled effective 26th January 2017
35	Metropolitan Trust Nigeria Limited	Expelled effective 26th January 2017
36	Midlands Investment and Trust Limited	Expelled effective 11th December 2015
37	Multi Trust Securities Limited	Expelled effective 11th December 2015
38	Omas Investment and Trust Company Limited	Expelled effective 26th January 2017
39	Peninsula Asset Management and Investment Company Limited	Expelled effective 26th January 2017
40	Prudential Securities Limited	Expelled effective 26th January 2017
41	RIV Trust Securities Limited	Expelled effective 11th December 2015
42	Riverside Trust Limited	Expelled effective 11th December 2015
43	Securities Trading and Investments Limited	Expelled effective 26th January 2017
44	Sikon Securities and Investment Trust Limited	Expelled effective 11th December 2015
45	Silver and Gold Securities Limited	Expelled effective 26th January 2017
46	Standard Chartered Securities Limited	Expelled effective 11th December 2015
47	Transglobe Investment and Finance Company Limited	Expelled effective 26th January 2017
48	Translux Services Limited	Expelled effective 11th December 2015
49	Tropics Securities Limited	Expelled effective 26th January 2017
50	WizeTrade Capital and Asset Management Limited	Expelled effective 26th January 2017
51	WT Securities Limited	Expelled effective 26th January 2017
52	Zuma Securities Limited	Expelled effective 26th January 2017

SUMMARY		
A	Active Dealing Member Firms	196
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