

STATUS OF DEALING MEMBER FIRMS AS AT 18 FEBRUARY 2019

A ACTIVE DEALING MEMBER FIRMS		
S/N	FIRM	STATUS
1	Afrinvest Securities Limited	Active
2	Anchoria Investment and Securities Limited	Active
3	Apel Asset Limited	Active
4	Apt Securities and Funds Limited	Active
5	ARM Securities Limited	Active
6	Arthur Stevens Asset Management Limited	Active
7	Associated Asset Managers Limited	Active
8	Atlass Portfolio Limited	Active
9	Barclays Stockbrokers Nigeria Limited	Active
10	Bauchi Investment Corp. Sec Limited	Active
11	Belfry Investment and Securities Limited	Active
12	Bestworth Assets and Trust Limited	Active
13	Calyx Securities Limited	Active
14	Camry Securities Limited	Active
15	Capital Asset Limited	Active
16	Capital Bancorp Plc	Active
17	Capital Express Securities Limited	Active
18	Capital Trust Brokers Limited	Active
19	Cardinal Stone Securities Ltd	Active
20	Cashcraft Securities Limited	Active
21	Cashville Investment and Securities Limited	Active
22	CDL Capital Markets Limited	Active
23	Centre Point Investment Limited	Active
24	Century Securities Limited	Active
25	Chapel Hill Denham Securities Limited	Active
26	Chartwell Securities Limited	Active
27	Citi Investment Capital Limited	Active
28	City Code Trust and Investment Company Limited	Active
29	Compass Investment and Securities Limited	Active
30	Cordros Securities Limited	Active
31	Core Securities Limited	Active
32	Coronation Securities Limited	Active
33	Cowry Securities Limited	Active
34	Crane Securities Limited	Active
35	Crossworld Securities Limited	Active
36	Crown Capital Limited	Active
37	CSL Stockbrokers Limited	Active
38	Deep Trust Investment Limited	Active
39	Delords Securities Limited	Active
40	Dominion Trust Limited	Active
41	DSU Brokerage Services Limited	Active
42	Dunbell Securities Limited	Active
43	Dunn Loren Merrifield Securities Limited	Active

44	Dynamic Portfolio Limited	Active
45	EDC Securities Limited	Active
46	Edgefield Capital Management Limited	Active
47	EFCP Limited	Active
48	El-Elyon Alliance and Securities Ltd	Active
49	Elixir Securities Limited	Active
50	Enterprise Stockbrokers Limited	Active
51	Equity Capital Solutions Limited	Active
52	Eurocomm Securities Limited	Active
53	Express Portfolio Services Limited	Active
54	Falcon Securities Limited	Active
55	FBC Trust and Securities Limited	Active
56	FBNQuest Securities Limited	Active
57	FCSL Asset Management Company Limited	Active
58	Fidelity Finance Company Limited	Active
59	Financial and Analytics Capital Limited	Active
60	Financial Trust Company Limited	Active
61	Finmal Finance Services Limited	Active
62	First Integrated Capital Management Limited	Active
63	First Stockbrokers Limited	Active
64	FIS Securities Limited	Active
65	Foresight Securities and Investment Limited	Active
66	Forte Financial Limited	Active
67	Forthright Securities and Investments Limited	Active
68	Fortress Capital Limited	Active
69	FSDH Securities Limited	Active
70	FSL Securities Limited	Active
71	Funds Matrix and Assets Mgt Limited	Active
72	Fundvine Capital and Securities Limited	Active
73	Futureview Securities Limited	Active
74	Gidauniya Investment and Securities Limited	Active
75	Global Asset Management (Nig) Limited	Active
76	GlobalView Capital Limited	Active
77	Golden Securities Limited	Active
78	Greenwich Securities Limited	Active
79	Growth & Development Asset Management Limited	Active
80	Gruene Capital Limited	Active
81	GTI Securities Limited	Active
82	Harmony Securities Limited	Active
83	Heartbeat Investment Limited	Active
84	Hedge Securities and Investment Limited	Active
85	Helix Securities Limited	Active
86	Heritage Capital Markets Limited	Active
87	ICMG securities Limited	Active
88	Icon Stockbrokers Limited	Active
89	Imperial Asset Managers Limited	Active
90	Integrated Trust and Investment Limited	Active
91	Interstate Securities Limited	Active
92	Investment One Stockbrokers International Limited	Active
93	Investors and Trust Company Limited	Active
94	Kapital Care Trust and Securities Limited	Active

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95	Kedari Capital Limited	Active
96	Kinley Securities Limited	Active
97	Kofana Securities and Investment Limited	Active
98	Kundila Finance Services Limited	Active
99	Lambeth Trust and Investment Company Limited	Active
100	Lead Securities and Investment Limited	Active
101	Lighthouse Asset Management Limited	Active
102	Magnartis Finance and Investment Limited	Active
103	Mainstreet Bank Securities Limited	Active
104	Maxifund Investment and Securities Plc	Active
105	Mayfield Investment Limited	Active
106	MBC Securities Limited	Active
107	MBL Financial Services Limited	Active
108	Mega Equities Limited	Active
109	Meristem Stockbrokers Limited	Active
110	Midas Stockbrokers Limited	Active
111	Milestone Capital Management Limited	Active
112	Mission Securities Limited	Active
113	Molten Trust Limited	Active
114	Morgan Capital Securities Limited	Active
115	Mountain Investment and Securities Limited	Active
116	Network Capital Limited	Active
117	Network Securities and Finance Limited	Active
118	Newdevco Investments and Securities Limited	Active
119	Nigerian International Securities Ltd	Active
120	Nigerian Stockbrokers Limited	Active
121	Nova Finance and Securities Limited	Active
122	Osborne Capital Markets Limited	Active
123	PAC Securities Limited	Active
124	Peace Capital Markets Ltd	Active
125	Pilot Securities Limited	Active
126	Pinefields Investment Services Limited	Active
127	PIPC Securities Limited	Active
128	Pivot Capital Limited	Active
129	Planet Capital Limited	Active
130	Portfolio Advisers Limited	Active
131	Premium stockbrokers Limited	Active
132	Primera Africa Securities Limited	Active
133	Primewealth Capital Limited	Active
134	Prominent Securities Limited	Active
135	PSI Securities Limited	Active
136	Pyramid Securities Limited	Active
137	Qualinvest Capital Limited	Active
138	Quantum Zenith Securities & Investments Limited	Active

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139	Rainbow Securities and Investment Company Limited	Active
140	Readings Investment Limited	Active
141	Regency Asset Management Limited	Active
142	Rencap Securities (Nig) Limited	Active
143	Resort Securities and Trust Limited	Active
144	Reward Investment and Service Limited	Active
145	RMB Nigeria Stockbrokers Limited	Active
146	Rostrum Investment and Sec Limited	Active
147	Rowet Capital Mgt Limited	Active
148	Royal Crest Finance Limited	Active
149	Royal Guaranty and Trust Limited	Active
150	Royal Trust Securities Limited	Active
151	Sankore Securities Limited	Active
152	Santrust Securities Limited	Active
153	Securities Africa Financial Limited	Active
154	Securities and Capital Management Company Limited	Active
155	Security Swaps Limited	Active
156	Shalom Investment and Financial Services Limited	Active
157	Shelong Investments Limited	Active
158	Sigma Securities Limited	Active
159	Signet Investment and Securities Limited	Active
160	Skyview Capital Ltd	Active
161	Smadac Securities Limited	Active
162	Solid Rock Securities and Investment Plc	Active
163	Spring Trust and Securities Limited	Active
164	Springboard Trust and Investment Limited	Active
165	Stanbic IBTC Stockbrokers Limited	Active
166	Standard Union Securities Limited	Active
167	TFS Securities and Investment Company Limited	Active
168	The Bridge Securities Limited	Active
169	Tiddo Securities Limited	Active
170	Tomil Trust Limited	Active
171	Topmost Securities Limited	Active
172	Tower Securities and Investment Company Limited	Active
173	Trade Link Securities Limited	Active
174	Traders Trust and Investment Co. Limited	Active
175	Transworld Investment Limited	Active
176	Trust Yield Securities Limited	Active
177	TrustBanc Capital Management Limited	Active
178	Trusthouse Investment Limited	Active
179	TRW Stockbrokers Limited	Active
180	Tyndale Securities Limited	Active
181	UIDC Securities Limited	Active
182	Unex Capital Limited	Active
183	Union Capital Markets Limited	Active
184	United Capital Securities Limited	Active
185	Valmon Securities Limited	Active
186	Valueline Securities and Investment Limited	Active
187	Vetiva Securities Limited	Active
188	WCM Capital Limited	Active
189	WSTC Securities Limited	Active
190	Zion Stockbrokers and Securities Limited	Active

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B INACTIVE DEALING MEMBER FIRMS		
S/N	FIRM	STATUS
1	Adamawa Securities Limited	Inactive
2	Adonai Stockbrokers Limited	Inactive
3	African Alliance Stockbrokers Limited	Inactive***
4	Aims Asset Management Limited	Inactive
5	Amyr Investment Limited	Inactive
6	Cadington Securities Limited	Inactive
7	CEB Securities Limited	Inactive
8	Clearview Investments Company Limited	Inactive
9	CLG Stockbrokers Limited	Inactive
10	ECL Asset Management Limited	Inactive
11	Finbank Securities and Assets Management Limited	Inactive
12	Gem Assets Management Limited	Inactive
13	GMT Securities and Asset Mangement Limited	Inactive
14	Gombe Securities Limited	Inactive
15	Horizon Stockbrokers Limited	Inactive
16	International Standard Securities Limited	Inactive
17	Investment Shark and Asset Mgt Ltd	Inactive
18	ITIS Securities Limited	Inactive
19	Kakawa Asset Management Limited	Inactive
20	Lion Stockbrokers Limited	Inactive
21	LMB Stockbrokers Limited	Inactive
22	Mact Securities Limited	Inactive
23	Marimpex Finance and Investment Company Limited	Inactive
24	Maven Asset Management Limited	Inactive
25	Mercov Securities Limited	Inactive
26	Midpoint Capital Limited	Inactive
27	ML Securities Limited	Inactive
28	Monument Sec and Finance Limited	Inactive
29	Mutual Alliance Investment and Securities Limited	Inactive
30	Profund Securities Limited	Inactive
31	Quantum Securities Limited	Inactive
32	Resano Securities Limited	Inactive
33	Standard Alliance Capital and Asset Management Limited	Inactive
34	Stanwal Securities Limited	Inactive***
35	Summa Guaranty and Trust Company Limited	Inactive***
36	Supra Commercial Trust Company Limited	Inactive
37	Transafrika Financial Services Limited	Inactive

C DEALING MEMBER FIRMS THAT HAVE RECLASSIFIED TO SUB-BROKER FUNCTION		
S/N	FIRM	STATUS

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1	Alangrange Securities Limited	Reclassified to Sub Broker
2	Arian Capital Management Limited	Reclassified to Sub Broker
3	Bestlink Investments Limited	Reclassified to Sub Broker
4	Covenant Securities & Asset Management Limited	Reclassified to Sub Broker
5	Cradle Trust Securities Limited	Reclassified to Sub Broker
6	Dependable Securities Limited	Reclassified to Sub Broker
7	Excel Securities Limited	Reclassified to Sub Broker
8	Investment Centre Limited	Reclassified to Sub Broker
9	LB Securities Limited	Reclassified to Sub Broker
10	Mainland Trust Limited	Reclassified to Sub Broker
11	Marriot Securities & Investment Co. Limited	Reclassified to Sub Broker
12	Northbridge Investment & Trust Limited	Reclassified to Sub Broker
13	Options Securities Limited	Reclassified to Sub Broker
14	Perfecta Investment Trust Limited	Reclassified to Sub Broker
15	Phronesis Securities Limited	Reclassified to Sub Broker
16	PML Securities Company Limited	Reclassified to Sub Broker
17	Professional Stockbrokers Limited	Reclassified to Sub Broker
18	Redasel Investment Limited	Reclassified to Sub Broker
19	Surport Services Limited	Reclassified to Sub Broker
20	Tower Asset Management Limited	Reclassified to Sub Broker
21	Vision Trust and Investment Limited	Reclassified to Sub Broker
22	Waila Securities & Funds Limited	Reclassified to Sub Broker
23	Yobe Investment & Securities Limited	Reclassified to Sub Broker
24	Yuderb Investment and Securities Limited	Reclassified to Sub Broker

D DEALING MEMBER FIRMS DEREGISTERED BY THE SECURITIES and EXCHANGE COMMISSION (SEC)		
S/N	FIRM	STATUS
1	BGL Securities Limited	Deregistered by SEC as at 26th May 2016
2	Decanon Investment Limited	Deregistered by SEC as at 18th December 2015
3	Partnership Securities Limited	Deregistered by SEC as at 13th September 2017
4	Platinum Capital Limited	Deregistered by SEC as at 18th December 2015
5	Securities Solutions Limited	Deregistered by SEC as at 18th December 2015

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E EXPELLED DEALING MEMBER FIRMS		
S/N	FIRM	STATUS
1	A.T.I.F Securities Limited/Atif Limited	Expelled effective 30th March 2017
2	AAA Stockbrokers Limited	Expelled effective 11th December 2015
3	Abacus Securities Limited	Expelled effective 30th March 2017
4	ABC Securities Limited	Expelled effective 30th March 2017
5	Afro-Arab Investment Limited	Expelled effective 11th December 2015
6	Akitorch Securities Limited	Expelled effective 30th March 2017
7	All Wealth Securities Limited	Expelled effective 30th March 2017
8	Allbond Investment Limited	Expelled effective 26th January 2017
9	Alliance Capital Management Company Limited	Expelled effective 25th June 2018
10	Al-Pina Investment and Trust Co Limited	Expelled effective 11th December 2015
11	Andruche Investments Plc	Expelled effective 13th December 2018
12	Angela Eccles Limited	Expelled effective 13th December 2018
13	Apex Securities Limited	Expelled effective 30th March 2017
14	Asset Plus Securities Limited	Expelled effective 30th March 2017
15	Associated Securities Limited	Expelled effective 30th March 2017
16	Associated Trust Investment & Finance Limited	Expelled effective 13th December 2018
17	Avon Finance and Securities Limited/Avon Finance and Investment Limited	Expelled effective 30th March 2017
18	Barakat Investment Limited	Expelled effective 11th December 2015
19	BBL Asset Management Limited	Expelled effective 11th December 2015
20	Beachgroove Securities and Investments Limited.	Expelled effective 30th March 2017
21	Beaver Securities Limited	Expelled effective 13th December 2018
22	Betraco Securities Limited	Expelled effective 13th December 2018
23	BFCL Asset and Securities Limited	Expelled effective 11th December 2015
24	BIC Securities Limited	Expelled effective 11th December 2015
25	Bosson Capital Assets Limited	Expelled effective 26th January 2017
26	Broadedge Securities Limited	Expelled effective 30th March 2017
27	Bullion Securities Limited (Bullion Stockbrokers Limited)	Expelled effective 30th March 2017
28	Bytofel Securities and Investment Limited	Expelled effective 25th May 2017
29	Cardinal Securities Limited	Expelled effective 30th March 2017
30	City Investment Management Limited	Expelled effective 30th March 2017
31	Cobal Ventures Limited	Expelled effective 13th December 2018
32	Colvia Securities Limited	Expelled effective 11th December 2015
33	Commet Finance and Securities Limited	Expelled effective 30th March 2017
34	Consolidated Investment Limited	Expelled effective 26th January 2017
35	Corporate Focus Securities Limited	Expelled effective 13th December 2018
36	Corporate Trust Limited	Expelled effective 30th March 2017
37	Crown Merchant Securities Limited	Expelled effective 30th March 2017
38	Dakal Services Limited	Expelled effective 26th January 2017
39	Dalgo Investment and Trust Limited	Expelled effective 30th March 2017
40	Davandy Finance Limited	Expelled effective 11th December 2015
41	Devcom Securities Limited	Expelled effective 30th March 2017
42	Devserv Finance and Securities Limited	Expelled effective 30th March 2017
43	EBN Securities Limited	Expelled effective 30th March 2017
44	Emi Capital Resources Limited	Expelled effective 26th January 2017
45	Empire Securities Limited	Expelled effective 11th December 2015
46	Enabell Capital and Investment Limited	Expelled effective 11th December 2015
47	Epic Investment Trust Limited	Expelled effective 11th December 2015
48	Equator Stockbrokers Limited	Expelled effective 11th December 2015
49	Equity Securities Limited	Expelled effective 30th March 2017
50	Farida Investment and Finance Limited	Expelled effective 30th March 2017
51	Financial Intermediaries Limited	Expelled effective 13th December 2018
52	First Alstate Securities Limited	Expelled effective 26th January 2017
53	First Atlantic Securities Limited	Expelled effective 11th December 2015
54	First Equity Securities Limited	Expelled effective 26th January 2017
55	First Express Limited	Expelled effective 11th December 2015
56	Fittco Securities Limited	Expelled effective 30th September 2015
57	Folu Securities Limited	Expelled effective 11th December 2015
58	Genesis Securities and Investment Limited	Expelled effective 11th December 2015
59	GF Securities Limited	Expelled effective 13th December 2018
60	Gilts and Hedge Finance Limited	Expelled effective 30th March 2017
61	Global Investment and Sec Limited	Expelled effective 30th March 2017
62	Goldworth Securities Limited	Expelled effective 30th March 2017
63	Gosord Securities Limited	Expelled effective 1st August 2014
64	Haggai Investment and Trust Limited	Expelled effective 30th March 2017
65	Halsec Finance Limited	Expelled effective 30th March 2017
66	HP Securities Limited	Expelled effective 30th March 2017
67	IB Finance Limited	Expelled effective 13th December 2018
68	Ideal Securities Limited	Expelled effective 26th January 2017

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69	Indemnity Finance Limited	Expelled effective 11th December 2015
70	Integrated and Allied Securities Limited	Expelled effective 11th December 2015
71	Integrated Securities Ltd	Expelled effective 13th December 2018
72	Integrated Ventures Nigeria Limited	Expelled effective 13th December 2018
73	Intercommerce and Consultant Limited	Expelled effective 13th December 2018
74	Intercontinental Securities Limited	Expelled effective 11th December 2013
75	Investicon Nigeria Limited	Expelled effective 30th March 2017
76	Investment & Capital Development Company Limited	Expelled effective 13th December 2018
77	Investment Resources Limited	Expelled effective 30th March 2017
78	Investment Trust Company Limited	Expelled effective 13th December 2018
79	Island Securities Limited	Expelled effective 30th March 2017
80	Jenkins Investments Limited	Expelled effective 30th March 2017
81	Kamrash Securities Limited	Expelled effective 13th December 2018
82	Kapital Securities Limited	Expelled effective 30th March 2017
83	KFF Worldwide Solutions Limited	Expelled effective 26th January 2017
84	Kingdom Securities Limited	Expelled effective 11th December 2015
85	Lakeside Asset Management Limited	Expelled effective 13th December 2018
86	Lakesworth Securities Limited	Expelled effective 1st August 2014
87	Lozinger Securities Limited	Expelled effective 30th March 2017
88	M & F Investment & Securities Limited	Expelled effective 13th December 2018
89	M&M Securities Limited	Expelled effective 30th March 2017
90	M. J Securities and Investment Limited	Expelled effective 30th March 2017
91	Majestic Securities Limited	Expelled effective 30th March 2017
92	Maninvest Asset Management Plc	Expelled effective 26th January 2017
93	Matrix Capital Management Limited	Expelled effective 30th March 2017
94	MBA Securities Limited	Expelled effective 30th March 2017
95	MBCOM Securities Limited	Expelled effective 30th March 2017
96	Merchant Securities Limited	Expelled effective 30th March 2017
97	Metropolitan Trust Nigeria Limited	Expelled effective 26th January 2017
98	Midland Capital Markets Limited	Expelled effective 19 September 2017
99	Midlands Investment and Trust Limited	Expelled effective 11th December 2015
100	Milestone Investment Services Limited	Expelled effective 13th December 2018
101	Millennium Investment Trust Limited	Expelled effective 13th December 2018
102	MMB Securities and Trust Limited	Expelled effective 30th March 2017
103	MMG Securities Limited	Expelled effective 30th March 2017
104	Moji Securities & Investment Nigeria Limited	Expelled effective 13th December 2018
105	Morgan Trust Asset Management Plc (formerly known as IMB Morgan Plc and formerly known as IMB Securities Plc)	Expelled effective 13th December 2018
106	Multi Trust Securities Limited	Expelled effective 11th December 2015

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107	Multibank International Securities Limited	Expelled effective 13th December 2018
108	Nationwide Finance And International Securities Limited	Expelled effective 13th December 2018
109	Nationwide Securities Limited	Expelled effective 30th March 2017
110	New Horizon Finance and Investment Limited	Expelled effective 30th March 2017
111	Nigbel Securities Limited	Expelled effective 30th March 2017
112	Novelty Investment Limited	Expelled effective 13th December 2018
113	Omas Investment and Trust Company Limited	Expelled effective 26th January 2017
114	Omega Securities Limited	Expelled effective 30th March 2017
115	Omnisource International Limited	Expelled effective 30th March 2017
116	OpenGate Finance Company Limited	Expelled effective 30th March 2017
117	Optimus Finance And Securities Limited	Expelled effective 13th December 2018
118	Pabod Finance & Investment Company Limited	Expelled effective 13th December 2018
119	Pabofin Securities Limited	Expelled effective 13th December 2018
120	Pacific Securities Limited	Expelled effective 30th March 2017
121	Pamal Finance Limited	Expelled effective 30th March 2017
122	Path Securities & Investment Ltd	Expelled effective 13th December 2018
123	Peak Securities Limited	Expelled effective 30th March 2017
124	Peninsula Asset Management and Investment Company Limited	Expelled effective 26th January 2017
125	Prime Securities Limited	Expelled effective 30th March 2017
126	Prudent Stockbrokers Limited	Expelled effective 30th March 2017
127	Prudential Securities Limited	Expelled effective 26th January 2017
128	RIV Trust Securities Limited	Expelled effective 11th December 2015
129	Riverside Trust Limited	Expelled effective 11th December 2015
130	Royal Securities Limited	Expelled effective 30th March 2017
131	Securities Trading and Investments Limited	Expelled effective 26th January 2017
132	Shiroro Finance Ltd	Expelled effective 13th December 2018
133	Sikon Securities and Investment Trust Limited	Expelled effective 11th December 2015
134	Silver and Gold Securities Limited	Expelled effective 26th January 2017
135	Source Finance Limited/Source Finance and Trust Company Limited	Expelled effective 30th March 2017
136	Standard Chartered Securities Limited	Expelled effective 11th December 2015
137	Supreme Finance and Investment Co. Limited	Expelled effective 30th March 2017
138	Synergy and Assets Trust Limited	Expelled effective 30th March 2017
139	Tassel Finance & Investment Company	Expelled effective 13th December 2018
140	Thomas Kinsley Securities Limited	Expelled effective 30th March 2017
141	Tradestamp Securities Limited	Expelled effective 30th March 2017
142	Transglobe Investment and Finance Company Limited	Expelled effective 26th January 2017
143	Translux Services Limited	Expelled effective 11th December 2015
144	Tropics Securities Limited	Expelled effective 26th January 2017
145	Trust Securities Limited	Expelled effective 30th March 2017
146	Unique Securities & Finance Services Limited	Expelled effective 13th December 2018
147	Unit Trust Securities Limited	Expelled effective 30th March 2017
148	Universal Securities Limited	Expelled effective 30th March 2017
149	Upper Credit Securities And Investments Limited	Expelled effective 13th December 2018
150	Viva Securities Limited	Expelled effective 30th March 2017
151	Wellsfargo Capital Limited	Expelled effective 13th December 2018
152	Westland Investment Ltd	Expelled effective 13th December 2018
153	Wintrust Limited	Expelled effective 30th March 2017
154	WizeTrade Capital and Asset Management Limited	Expelled effective 26th January 2017
155	WT Securities Limited	Expelled effective 26th January 2017
156	Zuma Securities Limited	Expelled effective 26th January 2017

	SUMMARY	
A	Active Dealing Member Firms	190
B	Inactive Dealing Member Firms	37
C	Reclassified to Sub Broker	24
D	Dealing Member Firms Deregistered by The SEC	5
F	Expelled Dealing Member Firms	156
***Resignation in progress		

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