

**STATUS OF DEALING MEMBER FIRMS AS AT 11 JUNE 2018**

S/N	FIRM	STATUS
<b>A</b>	<b>ACTIVE DEALING MEMBER FIRMS</b>	
1	Adonai Stockbrokers Limited	Active
2	Afrinvest Securities Limited	Active
3	Alangrange Securities Limited	Active
4	Abyn Investment Limited	Active
5	Anchoria Investment and Securities Limited	Active
6	Apel Asset Limited	Active
7	Apt Securities and Funds Limited	Active
8	ARM Securities Limited	Active
9	Arthur Stevens Asset Management Limited	Active
10	Associated Asset Managers Limited	Active
11	Atlass Portfolio Limited	Active
12	Bauchi Investment Corp. Sec Limited	Active
13	Belfry Investment and Securities Limited	Active
14	Bestworth Assets and Trust Limited	Active
15	Calyx Securities Limited	Active
16	Camry Securities Limited	Active
17	Capital Asset Limited	Active
18	Capital Bancorp Plc	Active
19	Capital Express Securities Limited	Active
20	Capital Trust Brokers Limited	Active
21	Cardinal Stone Securities Ltd	Active
22	Cashcraft Securities Limited	Active
23	Cashville Investment and Securities Limited	Active
24	CDL Capital Markets Limited	Active
25	Centre Point Investment Limited	Active
26	Century Securities Limited	Active
27	Chapel Hill Denham Securities Limited	Active
28	Chartwell Securities Limited	Active
29	Citi Investment Capital Limited	Active
30	City Code Trust and Investment Company Limited	Active
31	CLG Stockbrokers Limited	Active
32	Compass Investment and Securities Limited	Active
33	Cordros Securities Limited	Active
34	Core Securities Limited	Active
35	Coronation Securities Limited	Active
36	Cowry Securities Limited	Active
37	Crane Securities Limited	Active
38	Crossworld Securities Limited	Active
39	Crown Capital Limited	Active
40	CSL Stockbrokers Limited	Active
41	Deep Trust Investment Limited	Active
42	Delords Securities Limited	Active
43	Dependable Securities Limited	Active
44	Dominion Trust Limited	Active
45	DSU Brokerage Services Limited	Active
46	Dunbell Securities Limited	Active
47	Dunn Loren Merrifield Securities Limited	Active
48	Dynamic Portfolio Limited	Active

49	EDC Securities Limited	Active
50	Edgefield Capital Management Limited	Active
51	EFCP Limited	Active
52	El-Elyon Alliance and Securities Ltd	Active
53	Elixir Securities Limited	Active
54	Enterprise Stockbrokers Limited	Active
55	Equity Capital Solutions Limited	Active
56	Eurocomm Securities Limited	Active
57	Express Portfolio Services Limited	Active
58	Falcon Securities Limited	Active
59	FBC Trust and Securities Limited	Active
60	FBNQuest Securities Limited	Active
61	FCSL Asset Management Company Limited	Active
62	Fidelity Finance Company Limited	Active
63	Financial and Analytics Capital Limited	Active
64	Financial Trust Company Limited	Active
65	Finmal Finance Services Limited	Active
66	First Integrated Capital Management Limited	Active
67	First Stockbrokers Limited	Active
68	FIS Securities Limited	Active
69	Foresight Securities and Investment Limited	Active
70	Forte Financial Limited	Active
71	Forthright Securities and Investments Limited	Active
72	Fortress Capital Limited	Active
73	FSDH Securities Limited	Active
74	FSL Securities Limited	Active
75	Funds Matrix and Assets Mgt Limited	Active
76	Fundvine Capital and Securities Limited	Active
77	Futureview Securities Limited	Active
78	Gidauniya Investment and Securities Limited	Active
79	Global Asset Management (Nig) Limited	Active
80	GlobalView Capital Limited	Active
81	Golden Securities Limited	Active
82	Greenwich Securities Limited	Active
83	Growth & Development Asset Management Limited	Active
84	Gruene Capital Limited	Active
85	GTI Securities Limited	Active
86	Harmony Securities Limited	Active
87	Heartbeat Investment Limited	Active
88	Hedge Securities and Investment Limited	Active
89	Helix Securities Limited	Active
90	Heritage Capital Markets Limited	Active
91	ICMG securities Limited	Active
92	Icon Stockbrokers Limited	Active
93	Imperial Assets Managers Limited	Active
94	Integrated Trust and Investment Limited	Active
95	Interstate Securities Limited	Active
96	Investment Centre Limited	Active
97	Investment One Stockbrokers International Limited	Active
98	Investors and Trust Company Limited	Active
99	Kapital Care Trust and Securities Limited	Active
100	Kedari Capital Limited	Active

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101	Kinley Securities Limited	Active
102	Kofana Securities and Investment Limited	Active
103	Kundila Finance Services Limited	Active
104	Lambeth Trust and Investment Company Limited	Active
105	Lead Securities and Investment Limited	Active
106	Lighthouse Asset Management Limited	Active
107	Longterm Global Capital Limited	Active
108	Magnartis Finance and Investment Limited	Active
109	Mainstreet Bank Securities Limited	Active
110	Marimpex Finance and Investment Company Limited	Active
111	Marriot Securities and Investment Co. Limited	Active
112	Maxifund Investment and Securities Plc	Active
113	Mayfield Investment Limited	Active
114	MBC Securities Limited	Active
115	MBL Financial Services Limited	Active
116	Mega Equities Limited	Active
117	Meristem Stockbrokers Limited	Active
118	Midas Stockbrokers Limited	Active
119	Milestone Capital Management Limited	Active
120	Mission Securities Limited	Active
121	Molten Trust Limited	Active
122	Morgan Capital Securities Limited	Active
123	Mountain Investment and Securities Limited	Active
124	Network Capital Limited	Active
125	Networth Securities and Finance Limited	Active
126	Newdevco Investments and Securities Limited	Active
127	Nigerian International Securities Ltd	Active
128	Nigerian Stockbrokers Limited	Active
129	Nova Finance and Securities Limited	Active
130	PAC Securities Limited	Active
131	Peace Capital Markets Ltd	Active
132	Phronesis Securities Limited	Active
133	Pilot Securities Limited	Active
134	Pinefields Investment Services Limited	Active
135	PIPC Securities Limited	Active
136	Pivot Capital Limited	Active
137	Planet Capital Limited	Active
138	Portfolio Advisers Limited	Active
139	Primera Africa Securities Limited	Active
140	Primewealth Capital Limited	Active
141	Prominent Securities Limited	Active
142	PSI Securities Limited	Active
143	Pyramid Securities Limited	Active
144	Qualinvest Capital Limited	Active
145	Rainbow Securities and Investment Company Limited	Active
146	Readings Investment Limited	Active
147	Regency Asset Management Limited	Active

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148	Rencap Securities (Nig) Limited	Active
149	Resort Securities and Trust Limited	Active
150	Reward Investment and Service Limited	Active
151	RMB Nigeria Stockbrokers Limited	Active
152	Rostrum Investment and Sec Limited	Active
153	Rowet Capital Mgt Limited	Active
154	Royal Crest Finance Limited	Active
155	Royal Guaranty and Trust Limited	Active
156	Royal Trust Securities Limited	Active
157	Sankore Securities Limited	Active
158	Santrust Securities Limited	Active
159	Securities Africa Financial Limited	Active
160	Securities and Capital Management Company Limited	Active
161	Security Swaps Limited	Active
162	SFC Securities Limited	Active
163	Shalom Investment and Financial Services Limited	Active
164	Shelong Investments Limited	Active
165	Sigma Securities Limited	Active
166	Signet Investment and Securities Limited	Active
167	Skyview Capital Ltd	Active
168	Smadac Securities Limited	Active
169	Solid Rock Securities and Investment Plc	Active
170	Spring Trust and Securities Limited	Active
171	Springboard Trust and Investment Limited	Active
172	Stanbic IBTC Stockbrokers Limited	Active
173	Standard Union Securities Limited	Active
174	TFS Securities and Investment Company Limited	Active
175	The Bridge Securities Limited	Active
176	Tiddo Securities Limited	Active
177	Tomil Trust Limited	Active
178	Topmost Securities Limited	Active
179	Tower Securities and Investment Company Limited	Active
180	Trade Link Securities Limited	Active
181	Traders Trust and Investment Co. Limited	Active
182	Transworld Investment Limited	Active
183	Trust Yield Securities Limited	Active
184	TrustBanc Capital Management Limited	Active
185	Trusthouse Investment Limited	Active
186	TRW Stockbrokers Limited	Active
187	Tyndale Securities Limited	Active
188	Unex Capital Limited	Active
189	Union Capital Markets Limited	Active
190	United Capital Securities Limited	Active
191	Valmon Securities Limited	Active
192	Valueline Securities and Investment Limited	Active
193	Vetiva Securities Limited	Active
194	Woodland Capital Markets Plc	Active
195	WSTC Securities Limited	Active
196	Zenith Securities Limited	Active
197	Zion Stockbrokers and Securities Limited	Active

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<b>B INACTIVE DEALING MEMBER FIRMS</b>		
<b>S/N</b>	<b>FIRM</b>	<b>STATUS</b>
1	Adamawa Securities Limited	Inactive
2	Aims Asset Management Limited	Inactive
3	Arian Capital Management Limited	Inactive
4	Cadington Securities Limited	Inactive
5	CEB Securities Limited	Inactive
6	Clearview Investments Company Limited	Inactive
7	Covenant Securities and Asset Management Limited	Inactive
8	Cradle Trust Finance and Securities Limited	Inactive
9	ECL Asset Management Limited	Inactive
10	Excel Securities Limited	Inactive
11	Finbank Securities and Assets Management Limited	Inactive
12	Gem Assets Management Limited	Inactive
13	GMT Securities and Asset Mangement Limited	Inactive
14	Gombe Securities Limited	Inactive
15	Horizon Stockbrokers Limited	Inactive
16	International Standard Securities Limited	Inactive
17	Investment Shark and Asset Mgt Ltd	Inactive
18	ITIS Securities Limited	Inactive
19	Kakawa Asset Management Limited	Inactive
20	LB Securities Limited	Inactive
21	Lion Stockbrokers Limited	Inactive
22	LMB Stockbrokers Limited	Inactive
23	Mact Securities Limited	Inactive
24	Mainland Trust Limited	Inactive
25	Maven Asset Management Limited	Inactive
26	Mercov Securities Limited	Inactive
27	Midpoint Capital Limited	Inactive
28	ML Securities Limited	Inactive
29	Monument Sec and Finance Limited	Inactive
30	Mutual Alliance Investment and Securities Limited	Inactive
31	Nonsuch Securities Limited	Inactive
32	Northbridge Investment and Trust Limited	Inactive
33	Options Securities Limited	Inactive
34	Perfecta Investment and Trust Limited	Inactive
35	PML Securities Company Limited	Inactive
36	Professional Stockbrokers Limited	Inactive
37	Profund Securities Limited	Inactive
38	Quantum Securities Limited	Inactive
39	Redasel Investments Limited	Inactive
40	Resano Securities Limited	Inactive
41	Standard Alliance Capital and Asset Management Limited	Inactive
42	Supra Commercial Trust Company Limited	Inactive
43	Surport Services Limited	Inactive
44	Tower Asset Management Limited	Inactive
45	Transafrika Financial Services Limited	Inactive
46	UIDC Securities Limited	Inactive
47	Waila Securities and Funds Limited	Inactive
48	Yobe Investment and Securities Limited	Inactive
49	Yuderb Investment and Securities Limited	Inactive

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<b>C DEALING MEMBER FIRMS DEREGISTERED BY THE SECURITIES and EXCHANGE COMMISSION (SEC)</b>		
<b>S/N</b>	<b>FIRM</b>	<b>STATUS</b>
1	Alliance Capital Management Company Limited	Deregistered by SEC as at 24th December 2012
2	BGL Securities Limited	Deregistered by SEC as at 26th May 2016
3	Decanon Investment Limited	Deregistered by SEC as at 18th December 2015
4	Partnership Securities Limited	Deregistered by SEC as at 13th September 2017
5	Platinum Capital Limited	Deregistered by SEC as at 18th December 2015
6	Securities Solutions Limited	Deregistered by SEC as at 18th December 2015

<b>D RESIGNATION IN PROGRESS</b>		
<b>S/N</b>	<b>FIRM</b>	<b>STATUS</b>
1	African Alliance Stockbrokers Limited	Resignation In Progress
2	Bestlink Investment Limited	Resignation In Progress
3	Stanwal Securities Limited	Resignation In Progress
4	Summa Guaranty and Trust Company Limited	Resignation In Progress
5	Vision Trust and Investment Limited	Resignation In Progress

<b>E REVOKED LICENSES</b>		
<b>S/N</b>	<b>FIRM</b>	<b>STATUS</b>
1	Andruche Investments Plc	Revoked
2	Angela Eccles Limited	Revoked
3	Associated Trust Investment & Finance Limited	Revoked
4	Beaver Securities Limited.	Revoked
5	Betraco Securities Limited	Revoked
6	Cobal Ventures Limited	Revoked
7	Corporate Focus Securities Limited	Revoked
8	Enterprise Discount Bancorp Plc	Revoked
9	Financial Intermediaries Limited	Revoked
10	GF Securities Limited	Revoked
11	IB Finance Limited	Revoked
12	IMT Securities Nigeria Limited	Revoked
13	Integrated Securities Ltd	Revoked
14	Integrated Ventures Nigeria Limited	Revoked
15	Intercommerce and Consultant Limited	Revoked
16	Investment & Capital Development Company Limited	Revoked
17	Investment Trust Company Limited	Revoked
18	Kamrash Securities Limited	Revoked
19	Lakeside Asset Management Limited	Revoked
20	M & F Investment & Securities Limited	Revoked
21	Milestone Investment Services Limited	Revoked
22	Millennium Investment Trust Limited	Revoked
23	Moji Securities & Investment Nigeria Limited	Revoked
24	Morgan Trust Asset Management Plc (formerly known as IMB Morgan Plc and formerly known as IMB Securities Plc)	Revoked
25	Multibank International Securities Limited	Revoked
26	Nationwide Finance And International Securities Limited	Revoked
27	Novelty Investment Limited	Revoked
28	Optimus Finance And Securities Limited	Revoked
29	Pabod Finance & Investment Company Limited	Revoked
30	Pabofin Securities Limited	Revoked
31	Path Securities & Investment Ltd	Revoked
32	Price Securities Limited	Revoked
33	Shiroro Finance Ltd	Revoked
34	SMDAC Resources Limited	Revoked
35	Tassel Finance & Investment Company	Revoked
36	Unique Securities & Finance Services Ltd	Revoked
37	Upper Credit Securities And Investments	Revoked
38	Wellsfargo Capital Limited	Revoked
39	Westland Investment Ltd	Revoked

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<b>F</b>		
<b>EXPELLED DEALING MEMBER FIRMS</b>		
<b>S/N</b>	<b>FIRM</b>	<b>STATUS</b>
1	A.T.I.F Securities Limited/Atif Limited	Expelled effective 30th March 2017
2	AAA Stockbrokers Limited	Expelled effective 11th December 2015
3	Abacus Securities Limited	Expelled effective 30th March 2017
4	ABC Securities Limited	Expelled effective 30th March 2017
5	Afro-Arab Investment Limited	Expelled effective 11th December 2015
6	Akitorch Securities Limited	Expelled effective 30th March 2017
7	All Wealth Securities Limited	Expelled effective 30th March 2017
8	Allbond Investment Limited	Expelled effective 26th January 2017
9	Al-Pina Investment and Trust Co Limited	Expelled effective 11th December 2015
10	Apex Securities Limited	Expelled effective 30th March 2017
11	Asset Plus Securities Limited	Expelled effective 30th March 2017
12	Associated Securities Limited	Expelled effective 30th March 2017
13	Avon Finance and Securities Limited/Avon Finance and Investment Limited	Expelled effective 30th March 2017
14	Barakat Investment Limited	Expelled effective 11th December 2015
15	BBL Asset Management Limited	Expelled effective 11th December 2015
16	Beachgroove Securities and Investments Limited.	Expelled effective 30th March 2017
17	BFCL Asset and Securities Limited	Expelled effective 11th December 2015
18	BIC Securities Limited	Expelled effective 11th December 2015
19	Bosson Capital Assets Limited	Expelled effective 26th January 2017
20	Broadedge Securities Limited	Expelled effective 30th March 2017
21	Bullion Securities Limited (Bullion Stockbrokers Limited)	Expelled effective 30th March 2017
22	Bytofel Securities and Investment Limited	Expelled effective 25th May 2017
23	Cardinal Securities Limited	Expelled effective 30th March 2017
24	City Investment Management Limited	Expelled effective 30th March 2017
25	Colvia Securities Limited	Expelled effective 11th December 2015
26	Commet Finance and Securities Limited	Expelled effective 30th March 2017
27	Consolidated Investment Limited	Expelled effective 26th January 2017
28	Corporate Trust Limited	Expelled effective 30th March 2017
29	Crown Merchant Securities Limited	Expelled effective 30th March 2017
30	Dakal Services Limited	Expelled effective 26th January 2017
31	Dalgo Investment and Trust Limited	Expelled effective 30th March 2017
32	Davandy Finance Limited	Expelled effective 11th December 2015
33	Devcom Securities Limited	Expelled effective 30th March 2017
34	Devserv Finance and Securities Limited	Expelled effective 30th March 2017
35	EBN Securities Limited	Expelled effective 30th March 2017
36	Emi Capital Resources Limited	Expelled effective 26th January 2017
37	Empire Securities Limited	Expelled effective 11th December 2015
38	Enabell Capital and Investment Limited	Expelled effective 11th December 2015
39	Epic Investment Trust Limited	Expelled effective 11th December 2015
40	Equator Stockbrokers Limited	Expelled effective 11th December 2015
41	Equity Securities Limited	Expelled effective 30th March 2017
42	Farida Investment and Finance Limited	Expelled effective 30th March 2017
43	First Alstate Securities Limited	Expelled effective 26th January 2017
44	First Atlantic Securities Limited	Expelled effective 11th December 2015
45	First Equity Securities Limited	Expelled effective 26th January 2017
46	First Express Limited	Expelled effective 11th December 2015
47	Fittco Securities Limited	Expelled effective 30th September 2015
48	Folu Securities Limited	Expelled effective 11th December 2015
49	Genesis Securities and Investment Limited	Expelled effective 11th December 2015
50	Gilts and Hedge Finance Limited	Expelled effective 30th March 2017
51	Global Investment and Sec Limited	Expelled effective 30th March 2017
52	Goldworth Securities Limited	Expelled effective 30th March 2017
53	Gosord Securities Limited	Expelled effective 1st August 2014
54	Haggai Investment and Trust Limited	Expelled effective 30th March 2017
55	Halsec Finance Limited	Expelled effective 30th March 2017
56	HP Securities Limited	Expelled effective 30th March 2017
57	Ideal Securities Limited	Expelled effective 26th January 2017
58	Indemnity Finance Limited	Expelled effective 11th December 2015
59	Integrated and Allied Securities Limited	Expelled effective 11th December 2015
60	Intercontinental Securities Limited	Expelled effective 11th December 2013
61	Investicon Nigeria Limited	Expelled effective 30th March 2017
62	Investment Resources Limited	Expelled effective 30th March 2017
63	Island Securities Limited	Expelled effective 30th March 2017
64	Jenkins Investments Limited	Expelled effective 30th March 2017
65	Kapital Securities Limited	Expelled effective 30th March 2017
66	KFF Worldwide Solutions Limited	Expelled effective 26th January 2017
67	Kingdom Securities Limited	Expelled effective 11th December 2015

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68	Lakesworth Securities Limited	Expelled effective 1st August 2014
69	Lozinger Securities Limited	Expelled effective 30th March 2017
70	M&M Securities Limited	Expelled effective 30th March 2017
71	M. J Securities and Investment Limited	Expelled effective 30th March 2017
72	Majestic Securities Limited	Expelled effective 30th March 2017
73	Maninvest Asset Management Plc	Expelled effective 26th January 2017
74	Matrix Capital Management Limited	Expelled effective 30th March 2017
75	MBA Securities Limited	Expelled effective 30th March 2017
76	MBCOM Securities Limited	Expelled effective 30th March 2017
77	Merchant Securities Limited	Expelled effective 30th March 2017
78	Metropolitan Trust Nigeria Limited	Expelled effective 26th January 2017
79	Midland Capital Markets Limited	Expelled effective 19 September 2017
80	Midlands Investment and Trust Limited	Expelled effective 11th December 2015
81	MMB Securities and Trust Limited	Expelled effective 30th March 2017
82	MMG Securities Limited	Expelled effective 30th March 2017
83	Multi Trust Securities Limited	Expelled effective 11th December 2015
84	Nationwide Securities Limited	Expelled effective 30th March 2017
85	New Horizon Finance and Investment Limited	Expelled effective 30th March 2017
86	Nigbel Securities Limited	Expelled effective 30th March 2017
87	Omas Investment and Trust Company Limited	Expelled effective 26th January 2017
88	Omega Securities Limited	Expelled effective 30th March 2017
89	Omnisource International Limited	Expelled effective 30th March 2017
90	OpenGate Finance Company Limited	Expelled effective 30th March 2017
91	Pacific Securities Limited	Expelled effective 30th March 2017
92	Pamal Finance Limited	Expelled effective 30th March 2017
93	Peak Securities Limited	Expelled effective 30th March 2017
94	Peninsula Asset Management and Investment Company Limited	Expelled effective 26th January 2017
95	Prime Securities Limited	Expelled effective 30th March 2017
96	Prudent Stockbrokers Limited	Expelled effective 30th March 2017
97	Prudential Securities Limited	Expelled effective 26th January 2017
98	RIV Trust Securities Limited	Expelled effective 11th December 2015
99	Riverside Trust Limited	Expelled effective 11th December 2015
100	Royal Securities Limited	Expelled effective 30th March 2017
101	Securities Trading and Investments Limited	Expelled effective 26th January 2017
102	Sikon Securities and Investment Trust Limited	Expelled effective 11th December 2015
103	Silver and Gold Securities Limited	Expelled effective 26th January 2017
104	Source Finance Limited/Source Finance and Trust Company Limited	Expelled effective 30th March 2017

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105	Standard Chartered Securities Limited	Expelled effective 11th December 2015
106	Supreme Finance and Investment Co. Limited	Expelled effective 30th March 2017
107	Synergy and Assets Trust Limited	Expelled effective 30th March 2017
108	Thomas Kinsley Securities Limited	Expelled effective 30th March 2017
109	Tradestamp Securities Limited	Expelled effective 30th March 2017
110	Transglobe Investment and Finance Company Limited	Expelled effective 26th January 2017
111	Translux Services Limited	Expelled effective 11th December 2015
112	Tropics Securities Limited	Expelled effective 26th January 2017
113	Trust Securities Limited	Expelled effective 30th March 2017
114	Unit Trust Securities Limited	Expelled effective 30th March 2017
115	Universal Securities Limited	Expelled effective 30th March 2017
116	Viva Securities Limited	Expelled effective 30th March 2017
117	Wintrust Limited	Expelled effective 30th March 2017
118	WizeTrade Capital and Asset Management Limited	Expelled effective 26th January 2017
119	WT Securities Limited	Expelled effective 26th January 2017
120	Zuma Securities Limited	Expelled effective 26th January 2017

	<b>SUMMARY</b>	
A	Active Dealing Member Firms	197
B	Inactive Dealing Member Firms	49
C	Dealing Member Firms Deregistered by The SEC	6
D	Resignation In Progress	5
E	Revoked Licenses	39
F	Expelled Dealing Member Firms	120

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