



CIRCULAR

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26 June 2015

Training Program for Directors of Dealing Member Firms on Governance, Risk & Compliance (GRC)

In line with the Nigerian Stock Exchange's (NSE) drive to improve and strengthen governance and risk management programs within the Dealing Member community; The NSE in collaboration with Conrad Clark Nig. Ltd and Thomson Reuters is organizing a training workshop on **Governance, Risk & Compliance (GRC)**.

Conrad Clark is an Enterprise Risk & Strategic Change Management Solutions firm dedicated to providing clients with advisory services, training and solutions individually designed, implemented and supported to meet their unique needs. Conrad Clark working with Thomson Reuters established the Nigerian Risk Awards which recognises and celebrates risk professionals from various sectors of the economy.

Topic: Exploring Governance, Risk & Compliance (GRC) as a performance tool

Date: 29th of July, 2015

Time: 9.00am to 5.00pm

Venue: 20th Floor (Events Centre), Nigerian Stock Exchange Building, 2-4 Custom Street, Marina-Lagos.

Fees: ₦30, 000.00 per participant.

Registration for this **one – day training workshop** closes on (July 14, 2015). Your letter must state the full name, telephone number and e-mail address of your Director(s).

Payment which is a prerequisite for the registration must be made in favour of The Nigerian Stock Exchange within the above stated registration period and not during the training.

*** Please note that this training is mandatory for a minimum of one Director of each Dealing Member Firm of The Exchange.



COURSE OBJECTIVE

The goal of the seminar is to empower Directors with adequate knowledge on how to reliably tackle the broad issues of corporate governance, enterprise risk management and effective corporate compliance.

After attending the 1-day workshop, delegates would have a good understanding of the following:

- The importance of Corporate Governance;
- Recognize why compliance and ethics are major elements for building successful corporations;
- Be aware of financial crimes and how it can affect an Organization; and
- Understand how Enterprise Risk Management helps the firm in controlling their risk exposure.

DELIVERY METHOD

The training will be an interactive training session delivered through a combination of the following:

- Pre-course reading;
- Presentations;
- Case studies;
- Group discussion; and
- Corporate storytelling & role play simulation (Moremi).

Moremi – Risk Edutainment

Moremi – is an organisational growth tool that incorporates the management of risks and strategic change, it teaches, explains and explores 5Os; corporate Objectives, Operations, Obstacles, Opportunities and measuring Outcomes. By using popular African folklore, Moremi helps organisations to transit from good to great. It is a must-have experience in edutainment.

FACILITATORS

1. **Joachim A Adenusi** – BSc, MSc FIRM, Fellow and former Director of the UK Institute of Risk Management.
 - Actuarial trained strategic risk professional with extensive commercial and financial services experience spanning over 25 years;
 - Experienced Board Corporate Governance & Risk Committee member to many organisations;
 - Award winning enterprise risk practitioner; 2010 UK Risk Manager of year, 2007 & 2008 European Strategic Risk Awards winner; and
 - CEO, Conrad Clark Nig. Ltd and the founder of the Nigerian Risk Awards.

Olufemi Shobanjo

Head, Broker Dealer Regulation