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**CIRCULAR**

NSE/LARD/BDR/CIR5/15/07/15

15 July 2015

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**Notification of Classification Status of Dealing Members**

Further to the implementation of the Minimum Operating Standards (“MOS”) of The Exchange which became effective on 1 January 2015, all Dealing Member Firms are required to notify The Exchange of their classification status.

The notification should state which of the following operator classifications each firm wishes to perform:

- Broker Dealer;
- Broker;
- Dealer; or
- Sub-Broker

Note that this notification should be submitted electronically to [nsebdr@nse.com.ng](mailto:nsebdr@nse.com.ng) not later than 31 July 2015.

If you have any questions or require clarification about the above directive, please do not hesitate to revert to the Broker Dealer Regulation Department.

Please be guided accordingly.

Tinuade Awe,  
**Head | Legal and Regulation Division**